



Council Chambers  
200 H Street  
Antioch, CA 94509

Closed Session - 6:30 P.M.  
Regular Meeting - 7:00 P.M.

# ANNOTATED AGENDA

for

OCTOBER 28, 2014

Antioch City Council  
Regular Meeting

Including the Antioch City Council  
acting as Successor Agency/  
Housing Successor to the  
Antioch Development Agency

Wade Harper, Mayor  
Mary Helen Rocha, Mayor Pro Tem  
Monica E. Wilson, Council Member  
Tony Tiscareno, Council Member

Arne Simonsen, City Clerk  
Donna Conley, City Treasurer

Steven Duran, City Manager  
Lynn Tracy Nerland, City Attorney

**Electronic Agenda Packet viewing at:** <http://www.ci.antioch.ca.us/CityGov/agendas/FindAgenda.asp>

**With Project Plans at:** <http://ci.antioch.ca.us/CityGov/CommDev/PlanningDivision/docs/Project-Pipeline.pdf>

**Hard Copy viewing at:** Antioch Public Library, 501 W 18th St, Antioch, CA

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*Council meetings are televised live on Comcast Channel 24*

**Notice of Availability of Reports**

This agenda is a summary of the actions proposed to be taken by the City Council. For almost every agenda item, materials have been prepared by the City staff for the Council's consideration. These materials include staff reports which explain in detail the item before the Council and the reason for the recommendation. The materials may also include resolutions or ordinances which are proposed to be adopted. Other materials, such as maps and diagrams, may also be included. All of these materials are available at the City Clerk's Office, located on the 3<sup>rd</sup> Floor of City Hall, 200 H Street, Antioch, CA 94509, during normal business hours for inspection and (for a fee) copying. Copies are also made available at the Antioch Public Library for inspection. Questions on these materials may be directed to the staff member who prepared them, or to the City Clerk's Office, who will refer you to the appropriate person.

**Notice of Opportunity to Address Council**

The public has the opportunity to address the Council on each agenda item. To address the Council, fill out a yellow Speaker Request form, available on each side of the entrance doors, and place in the Speaker Card Tray. See the Speakers' Rules on the inside cover of this Agenda. Comments regarding matters not on this Agenda may be addressed during the "Public Comments" section.

**6:30 P.M.      ROLL CALL – CLOSED SESSION – for Council Members – *All Present***

**PUBLIC COMMENTS** for Closed Session – *None*

**CLOSED SESSION:**

- 1) **CONFERENCE WITH LABOR NEGOTIATORS** – This Closed Session is authorized by California Government Code section 54957.6, City designated representatives; Michelle Fitzer, Denise Haskett and Glenn Berkheimer; Employee organizations: Management Unit, Operating Engineers Local Union No. 3 (OE3), Treatment Plant Employees' Association (TPEA), and Public Employees Union Local 1  
*Direction given to Labor Negotiators*

**7:01 P.M.      ROLL CALL – REGULAR MEETING – for Council Members/City Council Members acting as Successor Agency/Housing Successor to the Antioch Development Agency – *All Present***

**PLEDGE OF ALLEGIANCE**

STAFF REPORT

**1.      PROCLAMATIONS**

STAFF REPORT

- The Best Small Transit System in America, October 29, 2014
- Extra Mile Day, November 1, 2014
- California Safe Digging Month, November 2014

STAFF REPORT

*Approved, 4/0*

Recommended Action:    Motion to approve the proclamations

**ANNOUNCEMENTS OF CIVIC AND COMMUNITY EVENTS**

**ANNOUNCEMENTS OF BOARD AND COMMISSION OPENINGS**

- *POLICE CRIME PREVENTION COMMISSION      (Extended deadline date: 11/13/14)*

**PUBLIC COMMENTS—Only unagendized issues will be discussed during this time**

**CITY COUNCIL COMMITTEE REPORTS**

**MAYOR'S COMMENTS**

**PRESENTATION** – *Measure 'C' Status Report, presented by Captain Tammany Brooks*

PRESENTATION

**2. CONSENT CALENDAR for City /City as Successor Agency/Housing Successor to the Antioch Development Agency**

**A. APPROVAL OF COUNCIL MINUTES FOR OCTOBER 14, 2014**

Recommended Action: Motion to approve the minutes

**Approved, 4/0**

MINUTES

**B. APPROVAL OF COUNCIL WARRANTS**

Recommended Action: Motion to approve the warrants

**Approved, 4/0**

STAFF REPORT

**C. APPROVAL OF TREASURER'S REPORT FOR AUGUST 2014**

Recommended Action: Motion to approve the report

**Approved, 4/0**

STAFF REPORT

**D. STATEMENT OF INVESTMENT POLICY**

Recommended Action: Motion to adopt the resolution of the City Council of the City of Antioch adopting the Statement of Investment Policy

**Reso No. 2014/85 adopted, 4/0**

STAFF REPORT

**E. RESOLUTION APPROVING PROGRAM SUPPLEMENT AGREEMENT NO. 013-N TO ADMINISTERING AGENCY-STATE AGREEMENT NO. 04-5038R FOR FEDERAL AID PROJECTS FOR THE SIDEWALK AND PEDESTRIAN IMPROVEMENTS, (P.W. 409-3)**

Recommended Action: Motion to adopt the resolution authorizing the Public Works Director/City Engineer or his designee to sign the Program Supplement Agreement No. 013-N to Administering Agency-State Agreement No. 04-5038R for Federal Aid Projects to receive federal grant funding for the Sidewalk and Pedestrian Improvements

**Reso No. 2014/86 adopted, 4/0**

STAFF REPORT

**F. CONSIDERATION OF BIDS FOR THE DISK TO DISK DATA ARCHIVE/BACKUP SOLUTION REPLACEMENT (BID NO. 209-1014-14A)**

Recommended Action: Motion to award the Disk to Disk Data Archive/Backup Solution Replacement project to the lowest responsible bidder, Entisys, in the amount of \$88,425.42

**Approved, 4/0**

STAFF REPORT

**CONSENT CALENDAR for City /City as Successor Agency/Housing Successor to the Antioch Development Agency – Continued**

- G.** CONSIDERATION OF BIDS FOR THE HOSTED WATER UTILITY BILLING PAYMENT IVR (INTERACTIVE VOICE RESPONSE) SYSTEM REPLACEMENT (BID NO. 209-0916-14A)

**Approved, 4/0**

Recommended Action: Motion to award award the IVR System Replacement project to the lowest responsible bidder, Paymentus, for a five (5) year contract in the amount of \$123,000

STAFF REPORT

City of Antioch Acting as Successor Agency/Housing Successor to the Antioch Development Agency

- H.** APPROVAL OF SUCCESSOR AGENCY WARRANTS

**Approved, 4/0**

Recommended Action: Motion to approve the warrants

STAFF REPORT

- I.** APPROVAL OF HOUSING SUCCESSOR WARRANTS

**Approved, 4/0**

Recommended Action: Motion to approve the warrants

STAFF REPORT

**PUBLIC COMMENT**

**STAFF COMMUNICATIONS**

**COUNCIL COMMUNICATIONS**

**ADJOURNMENT – 8:32 p.m.**



**TRI DELTA TRANSIT**  
**“BEST SMALL TRANSIT SYSTEM IN AMERICA”**

**WHEREAS,**

*The Eastern Contra Costa Transit Authority, known as “Tri Delta Transit,” was formed in 1977 to provide public transportation in a 225 square mile area that includes four cities in Contra Costa County, including the City of Antioch; and*

**WHEREAS,**

*Tri Delta Transit has demonstrated leadership in public and employee safety, organizing a “Safety Solutions Team” that regularly meets to discuss issues and develop solutions; and*

**WHEREAS,**

*Tri Delta Transit has made providing superior customer service a core value, implementing an “Above and Beyond” program to recognize employees who provide customer service that exceeds expectations, and*

**WHEREAS,**

*For the above stated reasons among many others, Tri Delta Transit was designated as the “Best Small Transit System in America” having won the American Public Transportation Association award as the “Outstanding Public Transportation System” in the Small Transit System category for 2014 on October 14, 2014.*

**NOW, THEREFORE, I, MAYOR WADE HARPER**  
*do hereby recognize and proclaim Tri Delta Transit as “The Best Small Transit System in America” and thank Tri Delta Transit for their outstanding achievements in safety and customer service.*

**OCTOBER 28, 2014**

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**WADE HARPER, Mayor**



**EXTRA MILE DAY**  
**NOVEMBER 1, 2014**

**WHEREAS,**

*The City of Antioch is a community which acknowledges that a special vibrancy exists within the entire community when its individual citizens collectively “go the extra mile” in personal effort, volunteerism, and service; and*

**WHEREAS,**

*The City of Antioch is a community which encourages its citizens to maximize their personal contribution to the community by giving of themselves wholeheartedly and with total effort, commitment, and conviction to their individual ambitions, family, friends, and community; and*

**WHEREAS,**

*The City of Antioch is a community which chooses to shine a light on and celebrate individuals and organizations within its community who “go the extra mile” in order to make a difference and lift up fellow members of their community; and*

**WHEREAS,**

*The City of Antioch acknowledges the mission of Extra Mile America to create 500 Extra Mile cities in America and is proud to support “Extra Mile Day” on November 1, 2014.*

**NOW, THEREFORE, I, MAYOR WADE HARPER**

*do hereby proclaim November 1, 2014 to be EXTRA MILE DAY. I urge each individual in the community to take time on this day to not only “go the extra mile” in his or her own life, but to also acknowledge all those who are inspirational in their efforts and commitment to make their organizations, families, community, country, or world a better place.*

**OCTOBER 28, 2014**

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**WADE HARPER, Mayor**

**1.02**  
**10-28-14**



**CALIFORNIA SAFE DIGGING MONTH  
NOVEMBER 2014**

*WHEREAS, Excavators, homeowners, and professional contractors can save time and money while making California's communities a safer place to live and work by dialing 811 in advance of all digging projects; and*

*WHEREAS, The 811 "Call Before You Dig" program is a vital public education and awareness program dealing with the safety of subsurface excavation, and education is the key to promoting safe digging practices; and*

*WHEREAS, The five steps to a safe excavation are: survey and mark, call before you dig, wait the required time, respect the marks, and dig with care; and*

*WHEREAS, Utility lines are often buried only a few inches underground, making them easy to strike and cause damage and harm even during shallow excavation projects; and*

*WHEREAS, More than 170,000 underground utility lines are struck each year in the United States and approximately 33 percent of all digging damages in the United States result from not calling 811 before digging; and*

*WHEREAS, Undesired consequences, such as service interruption, outages, damage to public and private infrastructure and property, damage to the environment, personal injury, and death, are risked by failing to call 811 before digging or safely marking utility lines; and*

*WHEREAS, Calling 811 to be connected to a "One Call Center" before digging, respecting the color-coded lines that demarcate underground utilities, and digging with care around the marked lines will help keep Californians safe and prevent damages and destruction; and*

*WHEREAS, Underground Service Alert of Northern California and Nevada, in cooperation with California's public and private utilities, provide an effective damage prevention service that protects California's citizens, communities, public services, environment, and underground facilities at no cost to the caller; and*

*WHEREAS, The free notification service provided by Underground Service Alert of Northern California and Nevada has dramatically reduced the number of accidents causing property damage, personal injury, and interruption of vital services;*

*WHEREAS, California public agencies should enforce California Government Code 4216 regarding safe excavation practices, permitting and civil penalties.*

**NOW, THEREFORE, I, MAYOR WADE HARPER**  
*proclaim November 2014 as California Safe Digging Month and encourage all excavators, homeowners, and professional contractors to call 811 in advance of all digging projects.*

**OCTOBER 28, 2014**

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**WADE HARPER, Mayor**

**1.03**  
**10-28-14**

**CITY COUNCIL MEETING  
INCLUDING THE ANTIOCH CITY COUNCIL  
ACTING AS SUCCESSOR AGENCY  
TO THE ANTIOCH DEVELOPMENT AGENCY**

**Regular Meeting  
7:00 P.M.**

**October 14, 2014  
Council Chambers**

**6:00 P.M. - CLOSED SESSION**

1. **CONFERENCE WITH LABOR NEGOTIATORS** – This Closed Session is authorized by California Government Code section 54957.6, City designated representatives; Michelle Fitzer, Denise Haskett and Glenn Berkheimer; Employee organizations: Management Unit, Operating Engineers Local Union No. 3 (OE3), Treatment Plant Employees' Association (TPEA), and Public Employees Union Local 1
2. **CONFERENCE WITH LEGAL COUNSEL** – Initiation of Litigation pursuant to California Government Code §54956.9 (d)(4): two cases
3. **CONFERENCE WITH LEGAL COUNSEL – ANTICIPATED LITIGATION:** Significant exposure to litigation pursuant to California Government Code §54956.9 (d)(2): Letter from Tenax Law Group dated September 19, 2014 regarding Campus California Donation Collection Bins

**PUBLIC COMMENT**

Mike Davis, Local 1 Business Agent, spoke regarding the first item on the closed session agenda and referenced an Unfair Labor Practice Charge Local 1 filed with the Public Employment Relations Board (PERB).

City Attorney Nerland reported the City Council had been in Closed Session and gave the following report: **#1 CONFERENCE WITH LABOR NEGOTIATORS**, Direction was given to the Labor Negotiators, **#2 CONFERENCE WITH LEGAL COUNSEL**, Direction was given to Legal Counsel, and; **#3 CONFERENCE WITH LEGAL COUNSEL – ANTICIPATED LITIGATION**, Direction was given to Legal Counsel.

Mayor Harper called the meeting to order at 7:04 P.M., and Minutes Clerk Eiden called the roll.

Present: Council Members Wilson, Tiscareno and Mayor Harper  
Absent: Council Member Rocha

Mayor Harper announced Councilmember Rocha was in Texas at a Transportation Conference as Tri-Delta Transit was the recipient of an award for Best Small Transit System in America. He also noted City Clerk Simonsen was on vacation.



## **PLEDGE OF ALLEGIANCE**

Councilmember Wilson led the Council and audience in the Pledge of Allegiance.

## **PROCLAMATION**

*Recognizing the 50th Anniversary of John F. Kennedy University, December 20, 2014*

On motion by Councilmember Tiscareno, seconded by Councilmember Wilson, the Council unanimously approved the Proclamation.

Mayor Harper announced the proclamation would be sent to the appropriate agency.

## **ANNOUNCEMENTS OF CIVIC AND COMMUNITY EVENTS**

Pastor Adam Valenzuela, representing Victory Outreach Church, discussed their efforts to curb the violence and reported the Peace Rally had been a very successful event. He voiced support for the City and offered to continue to work with them to stop the violence.

Candy Duperoir invited the community to the Holy Eve Carnival Event and Bay Life Play at 7:00 P.M. on October 31, 2014 at Grace Bible Fellowship.

Mayor Harper stated it was an honor to participate in the planning of the Peace Rally.

Audrey Kuppenbender, Antioch resident, announced she had recently opened AK Aesthetics Personal Day Spa in downtown Antioch and invited the public to attend her open house from 10:00 A.M. – 2:00 P.M. on October 18, 2014.

Julie Young, Antioch resident, invited the community to attend Unveiling Common Core from 7:00 P.M. - 9:00 P.M. on November 7, 2014 at Calvary Temple Church in Concord.

Director of Community Development Wehrmeister announced community input opportunities for the preparation of the Downtown Specific Plan and General Plan Land Use/Zoning Update would be held on November 12, 2014 at 7:00 P.M. and November 19, 2014 at 6:30 P.M. at the joint Planning Commission and Economic Development Commission meeting.

Iris Archuleta, Antioch resident, read a statement regarding the incidents occurring at Deer Valley Plaza and Deer Valley High School. She suggested the community support effective strategies that were being relaunched and offered to support those efforts.

Walter Ruehlig, Antioch resident, thanked everyone who attended the fundraiser for the Celebrate Antioch Foundation at Scends Deux Restaurant and invited residents to serve on the committee. He spoke to the success of the 4<sup>th</sup> of July event and announced they were expanding into the Holiday DeLites parade.

Gil Murillo, Antioch resident, stated he attended the School Board meeting and requested a member of City staff attend future meetings to understand resident's concerns regarding incidents that occurred at Antioch schools.

## **ANNOUNCEMENTS OF BOARD AND COMMISSION OPENINGS**

Mayor Harper announced the following Board and Commission openings:

- Parks and Recreation Commission: Two (2) partial-term vacancies; deadline date is October 16, 2014
- Economic Development Commission: Two (2) parcel-term vacancies; deadline date is October 16, 2014
- Planning Commission: One (1) partial-term vacancy; deadline date is October 16, 2014
- Police Crime Prevention Commission: One (1) partial-term vacancy; deadline date is October 23, 2014

## **PUBLIC COMMENTS**

Rich Buongiorno, Antioch resident, expressed concern regarding Mayor Harper's ability to serve the community and presented a copy of recall papers.

Pastor Kirkland Smith, Antioch resident, Grace Bible Fellowship and Velma Wilson, East County NAACP - Youth and College Division, spoke in support of Mayor Harper and his accomplishments as Mayor. They urged the residents to become involved and be a positive influence for the community.

Joseph Adebayo, Antioch resident, spoke to the history of crime in Antioch and urged residents to become involved and be part of the solution. He congratulated the City for being the recipient of the COPS grant and stated Measure C funding would also be utilized to reduce crime. He urged residents to be patient and commended the Council for their hard work.

Walter Kidwell, Antioch resident, reported there was a resident on "G" Street that had excessive amount of garage sales and Code Enforcement had not responded to his concerns. He provided photos to Council and requested the City address the issue, in the near future.

Mayor Harper requested Mr. Kidwell provide contact information so Code Enforcement could respond.

Mayor Harper read written comment from Lisa Cox, Antioch resident, regarding public safety issues.

Cindy Osborne, Antioch resident, presented the Council with a letter she read regarding her concerns for the safety of students and staff at Antioch Unified School District schools.

Jeff Belle, candidate for Contra Costa County School Board District 5, stated education was the key to strong communities and offered to support the City in their efforts to bring the community together.

Odessa Lafrancois and Marcita Eniz, Antioch residents, spoke in support of Mayor Harper and his accomplishments as Mayor. They encouraged residents to become involved in the community and be a part of the solution.

Willie Mims, representing the NAACP and Black Political Association, spoke in support of the City Council and Chief Cantando and their accomplishments in Antioch. He discussed issues occurring at Antioch schools and stated it was the teachers and school's responsibility to manage students.

Hussayn Bey, representing Green Jackets, reported they provided coaching and tutoring to children at Antioch schools and he felt stronger components in those areas were needed to serve the children. He spoke in support of Mayor Harper.

Essence Phillips, Antioch resident, provided crime statistics and spoke in support of Mayor Harper. She urged the community to become involved in being a part of the solution.

Sandra McKee, Antioch resident, requested clarification with regards to the speaker rules for public comment. She stated she felt it was the parent's responsibility to raise their children so they could attend school without causing disruptions and if not; it was the City and School Boards responsibly to find a solution.

#### **COUNCIL SUBCOMMITTEE REPORTS - None**

#### **MAYOR'S COMMENTS**

Councilmember Wilson read written comment from the Fellowship Church.

Scott Burgerhouse, Principal at Dozier-Libbey Medical High School, recognized Brandon Rojas, Chinasa Agu, Nhamdi Agu and Ivuoma (Ivy) Umozurike who on their way home from school, came to the aid and sought help for an elderly woman who had fallen in the Kaiser Hospital parking lot.

Lori Cook, Antioch resident and employee at Dozier-Libbey Medical High School stated she was very proud of the children and thanked the City Council for recognizing them.

Mayor Harper presented Good Samaritan awards to the students.

Councilmember Tiscareno and Councilmember Wilson congratulated the students and thanked them for being a positive example for youth in Antioch.

Mayor Harper reported he had been teaching classes at local schools. He commented that the City Council had taken a leadership role to get the Sales Tax Measure passed, place the Business License Tax Measure on the November ballot and extend the retirement benefits to attract experienced lateral police officers to Antioch. He stated they had also been successful with their public outreach efforts. He announced the City had received a COPS grant and a grant for the downtown specific plan area. He encouraged the community to work with the City for the greater good of Antioch and offered to meet with citizens to discuss solutions. He stated he was here to serve and he loved representing Antioch.

- 1. COUNCIL CONSENT CALENDAR**
  - A. APPROVAL OF COUNCIL MINUTES FOR SEPTEMBER 23, 2014**
  - B. APPROVAL OF COUNCIL WARRANTS**
  - C. ADVERTISING DISTRIBUTION ORDINANCE – DISTRIBUTION OF FLYERS, HANDBILLS AND ADVERTISING MATERIALS AT PROPERTIES**
  - D. EMERGENCY REPAIR WORK ON THE SEWER LINE AT NEROLY ROAD**
  - E. APPROVAL OF PROPOSAL AND AUTHORIZATION FOR THE CITY MANAGER TO SIGN AN AGREEMENT WITH TJKM TRANSPORTATION CONSULTANTS FOR PREPARATION OF RADAR SPEED STUDIES (P.W. 282-3A)**

On motion by Councilmember Wilson, seconded by Councilmember Tiscareno, the City Council unanimously approved the Council Consent Calendar.

**COUNCIL REGULAR AGENDA**

- 3. COUNCIL MEETING SCHEDULE FOR NOVEMBER 2014, DECEMBER 2014, AND JANUARY 6, 2015**

City Manager Duran presented the staff report dated September 30, 2014 recommended the City Council discuss and provide direction to staff.

On motion by Councilmember Tiscareno, seconded by Councilmember Wilson, the Council unanimously approved the following:

- Confirm the revised regular meeting date of Thursday, November 13, 2014
- Confirm the regular meeting date of Tuesday, November 25, 2014
- Schedule the meeting to seat the Council as the regular meeting of Tuesday, December 9, 2014
- Schedule a special meeting to conduct business on Tuesday, December 16, 2014
- Cancel the regular meeting scheduled for Tuesday, December 23, 2014

- Schedule a special meeting to provide land use training followed by a closed session for litigation and other legal matters on Tuesday, January 6, 2015

**PUBLIC COMMENTS** - None

**STAFF COMMUNICATIONS**

City Manager Duran announced the City had launched a facebook page, cityofantioch-cityhall.

**COUNCIL COMMUNICATIONS**

Councilmember Tiscareno thanked everyone who participated in the Neighborhood Cleanup event for the Sycamore area. He encouraged the community to participate in Neighborhood Cleanup events and the Neighborhood Watch program. He thanked Mayor Harper for his comments and stated Council, staff, and the community are actively involved in helping to reduce crime in Antioch.

Councilmember Wilson reported on her attendance at the Chamber of Commerce Access Mixer, Neighborhood Cleanup event and Anti-bullying demonstration at Delta One Karate Studio.

Mayor Harper reported on his attendance at the swearing-in of new Police Officers, TRANSPLAN/ECCRAFA meeting, Sport's Legends Ball, Eagle Scout Ceremony and the Holiday DeLites fundraiser. He stated he had also met with the new community director for the Antioch Unified School District and attended a meeting regarding the issues at Deer Valley Plaza.

**ADJOURNMENT**

With no further business, Mayor Harper adjourned the meeting at 8:35 P.M. to the next regular Council meeting on October 28, 2014.

Respectfully submitted:

*Kitty Eiden*  
KITTY EIDEN, Minutes Clerk

CITY OF ANTIOCH  
 CLAIMS BY FUND REPORT  
 FOR THE PERIOD OF  
 OCTOBER 3-16, 2014  
 FUND/CHECK#

**100 General Fund**

**Non Departmental**

353254	DIVISION OF STATE ARCHITECT	SB1186 REMITTANCE	267.60
353295	PROFESSIONAL RECOVERY SYSTEMS	COLLECTIONS COMMISSION	771.66
353336	BROWN AND CALDWELL INC	CONSULTING SERVICES	311.00
353343	CALIF BLDG STANDARDS COMMISSION	3RD QTR REMITTANCE	1,470.00
353345	CIRCLEPOINT	CONSULTING SERVICES	4,481.41
353356	DEPT OF CONSERVATION	3RD QTR REMITTANCE	5,401.66
353399	RITE ROOTER PLUMBING	CBSC FEE REFUND	1.50
922972	ZUMWALT ENGINEERING GROUP INC	PROFESSIONAL SERVICES	5,190.35

**City Council**

203668	CITY OF BRENTWOOD	CONFERENCE-WILSON	50.00
203672	MR PICKLES	MEETING EXPENSE	46.35

**City Attorney**

203669	COLANTUONO HIGHSMITH & WHATLEY	LEGAL SERVICES	14.82
203670	BANK OF AMERICA	SEMINAR FEES	66.95
353342	STATE OF CALIFORNIA	SALES TAX	3.28
353375	JACKSON LEWIS LLP	PROFESSIONAL SERVICES	2,006.00

**City Manager**

203671	BANK OF AMERICA	BUSINESS EXPENSES	63.22
353346	CITY OF CONCORD	PRINTING SERVICES	669.00

**City Clerk**

353239	BAY AREA NEWS GROUP	LEGAL AD	93.45
353361	EIDEN, KITTY J	MINUTES CLERK	476.00

**City Treasurer**

353368	GARDA CL WEST INC	ARMORED CAR PICK UP	210.12
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**Human Resources**

353238	BANK OF AMERICA	RECRUITMENT ADS	26.00
353273	IEDA INC	PROFESSIONAL SERVICES	11,097.12
353279	LIEBERT CASSIDY WHITMORE	PROFESSIONAL SERVICES	100.00
353363	FEDEX	SHIPPING	27.05
353379	KRAMER WORKPLACE INVESTIGATIONS	PROFESSIONAL SERVICES	5,310.00
353396	PERS	PAYROLL DEDUCTIONS	28.34

**Economic Development**

353331	BAY ALARM COMPANY	MONITORING FEE-FIRE	677.25
353350	CCC TAX COLLECTOR	PROPERTY TAX-HUMPHREYS	346.96
922939	BERNICK, MICHAEL	PROFESSIONAL SERVICES	3,300.00

**Finance Accounting**

203700	RELYCO SALES	FREIGHT CHARGE	56.25
353236	AT AND T MCI	BITECH PHONE LINE	479.75
353243	CALIF MUNICIPAL STATISTICS INC	CAFR STAT INFORMATION	425.00
353327	BADAWI & ASSOCIATES	AUDIT SERVICES	30,386.70
353396	PERS	PAYROLL DEDUCTIONS	16.17
353404	STATE OF CALIFORNIA	FINGERPRINTING	96.00
353407	THALES CONSULTING INC	CONSULTING SERVICES	3,600.00

CITY OF ANTIOCH  
 CLAIMS BY FUND REPORT  
 FOR THE PERIOD OF  
 OCTOBER 3-16, 2014  
 FUND/CHECK#

**Finance Operations**

353309 UNITED PARCEL SERVICE	WEEKLY PRINTER SERVICE FEE	2.00
353310 UNITED STATES POSTAL SERVICE	PO BOX SERVICE FEE	620.00
353342 STATE OF CALIFORNIA	SALES TAX	31.80
353395 PROGRESSIVE SOLUTIONS INC	BUSINESSS LIC FORMS	604.35
353408 UNITED PARCEL SERVICE	WEEKLY PRINTER SERVICE FEE	15.30

**Non Departmental**

203711 OCEAN BREEZE JANITORIAL	BUS LIC APP FEE REFUND	30.00
203712 HAIR STYLES SALON	BUS LIC FEE REFUND	53.25
353272 HUSSMANN CORPORATION	BUS LIC OVERPAYMENT REFUND	2,443.03
353276 JACK DOHENY SUPPLIES INC	BUS LIC OVERPAYMENT REFUND	200.00
353311 VANNA NAILS	BUS LIC OVERPAYMENT REFUND	311.50
353355 DELTA DIABLO	GOLF COURSE WATER SEP14	6,055.19
353392 PARS	ACTUARIAL SERVICES	1,100.00

**Public Works Maintenance Administration**

353384 NEXTEL SPRINT	CELL PHONE	57.52
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**Public Works General Maintenance Services**

353404 STATE OF CALIFORNIA	FINGERPRINTING	32.00
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**Public Works Street Maintenance**

353233 ANTIOCH BUILDING MATERIALS	ASPHALT	24,046.52
353335 BERNAL JR, ROWLAND	EXPENSE REIMBURSEMENT	189.25
353342 STATE OF CALIFORNIA	SALES TAX	16.34
353384 NEXTEL SPRINT	CELL PHONE	57.52
922944 CRYSTAL CLEAR LOGOS INC	CAPS/SHIRTS	1,004.36

**Public Works-Signal/Street Lights**

353342 STATE OF CALIFORNIA	SALES TAX	139.28
353391 PACIFIC GAS AND ELECTRIC CO	ELECTRIC	82.51
922948 ICR ELECTRICAL CONTRACTORS	ELECTRICAL SERVICES	1,317.97
922966 ICR ELECTRICAL CONTRACTORS	ELECTRICAL SERVICES	1,232.20

**Public Works-Striping/Signing**

353342 STATE OF CALIFORNIA	SALES TAX	41.14
353359 EAST BAY WELDING SUPPLY	OXYGEN	16.83
353384 NEXTEL SPRINT	CELL PHONE	57.52

**Public Works-Facilities Maintenance**

353255 DREAM RIDE ELEVATOR	ELEVATOR SERVICE	240.00
353342 STATE OF CALIFORNIA	SALES TAX	0.60
353350 CCC TAX COLLECTOR	PROPERTY TAX-YMCA	1,387.84
353371 HONEYWELL INTERNATIONAL INC	HVAC MAINTENANCE	13,075.50
353386 OAKLEYS PEST CONTROL	PEST CONTROL SERVICES	100.00
922968 LEES BUILDING MAINTENANCE	JANITORIAL SERVICES	1,766.00

**Public Works-Parks Maint**

353342 STATE OF CALIFORNIA	SALES TAX	21.11
353350 CCC TAX COLLECTOR	PROPERTY TAX-MARINA PLAZA	5,913.92
353382 MIRACLE PLAY SYSTEMS INC	PLAYGROUND REPAIR PARTS	1,861.46
353391 PACIFIC GAS AND ELECTRIC CO	ELECTRIC	162.23
353406 STEWARTS TREE SERVICE INC	TREE SERVICES	1,950.00

Prepared by: Georgina Meek  
 Finance Accounting

CITY OF ANTIOCH  
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922949 JOHN DEERE LANDSCAPES PACHECO	VALVES & SPRINKLERS	1,278.36
<b>Public Works-Median/General Land</b>		
353226 ACE HARDWARE, ANTIOCH	PVC FITTINGS	18.58
353342 STATE OF CALIFORNIA	SALES TAX	5.87
353390 PACIFIC COAST LANDSCAPE MGMT INC	LANDSCAPE SERVICES	13,342.00
353391 PACIFIC GAS AND ELECTRIC CO	ELECTRIC	36.09
<b>Public Works-Work Alternative</b>		
353226 ACE HARDWARE, ANTIOCH	TARPS	32.83
353384 NEXTEL SPRINT	CELL PHONE	48.84
<b>Police Administration</b>		
203689 CITY OF ANTIOCH	EXPENSE REIMBURSEMENT	5.00
203731 BANK OF AMERICA	BUSINESS EXPENSES	93.24
203732 BANK OF AMERICA	BUSINESS EXPENSES	75.42
353234 ARROWHEAD 24 HOUR TOWING INC	TOWING SERVICES	180.00
353235 ASR - BRICKER MINCOLA	UNIFORMS	86.06
353248 CONCORD UNIFORMS LLC	UNIFORMS	1,654.72
353253 CORTEZ, ANA E	TRAINING PER DIEM	213.00
353261 FACHNER, DANIEL E	EXPENSE REIMBURSEMENT	147.87
353268 HILTON	LODGING-CORTEZ	286.45
353269 HILTON	LODGING-MOREFIELD	286.45
353270 HILTON	LODGING-ROSE	700.50
353287 OFFICE MAX INC	OFFICE SUPPLIES	884.81
353293 PMW ASSOCIATES	TUITION-CORTEZ	393.00
353294 PMW ASSOCIATES	TUITION-MOREFIELD	393.00
353299 ROSE, BRIAN C	TRAINING PER DIEM	280.00
353323 ARROWHEAD 24 HOUR TOWING INC	TOWING SERVICES	42.50
353342 STATE OF CALIFORNIA	SALES TAX	159.14
353354 DEE, KRISTOPHER M	EXPENSE REIMBURSEMENT	475.12
353404 STATE OF CALIFORNIA	FINGERPRINTING	66.00
922938 AUGUSTA, VINCENT	COURT APPEARANCE	120.95
922944 CRYSTAL CLEAR LOGOS INC	UNIFORM SHIRTS	123.48
922950 MOBILE MINI LLC	STORAGE CONTAINERS	107.43
922960 COMPUTERLAND	ADOBE ACROBAT SOFTWARE	123.55
<b>Police Community Policing</b>		
203687 CITY OF ANTIOCH	EXPENSE REIMBURSEMENT	90.83
203688 CITY OF ANTIOCH	EXPENSE REIMBURSEMENT	89.42
203689 CITY OF ANTIOCH	EXPENSE REIMBURSEMENT	94.60
203721 CITY OF ANTIOCH	EXPENSE REIMBURSEMENT	68.12
203722 CITY OF ANTIOCH	EXPENSE REIMBURSEMENT	94.06
203723 CITY OF ANTIOCH	EXPENSE REIMBURSEMENT	65.18
353286 OCCUPATIONAL HEALTH CENTERS	PROFESSIONAL SERVICES	1,601.00
353296 PSYCHOLOGICAL RESOURCES INC	PROFESSIONAL SERVICES	1,800.00
353385 NEXTEL SPRINT	CELL PHONE EQUIPMENT	208.49
353396 PERS	PAYROLL DEDUCTIONS	201.68
<b>Police Investigations</b>		
203687 CITY OF ANTIOCH	EXPENSE REIMBURSEMENT	6.50

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203688 CITY OF ANTIOCH	EXPENSE REIMBURSEMENT	7.50
203722 CITY OF ANTIOCH	EXPENSE REIMBURSEMENT	5.00
203723 CITY OF ANTIOCH	EXPENSE REIMBURSEMENT	7.50
353249 CONTRA COSTA COUNTY	LAB TESTING	23,315.00
353342 STATE OF CALIFORNIA	SALES TAX	1.58
<b>Police Communications</b>		
353289 PACIFIC TELEMANAGEMENT SERVICES	LOBBY PAYPHONE	78.00
<b>Police Facilities Maintenance</b>		
353255 DREAM RIDE ELEVATOR	ELEVATOR SERVICE	80.00
353342 STATE OF CALIFORNIA	SALES TAX	3.78
353350 CCC TAX COLLECTOR	PROPERTY TAX-POLICE DEPT	693.92
353371 HONEYWELL INTERNATIONAL INC	HVAC MAINTENANCE	7,233.00
353385 NEXTEL SPRINT	CELL PHONE	3,130.76
922968 LEES BUILDING MAINTENANCE	JANITORIAL SERVICES	3,426.00
<b>Community Development Administration</b>		
353329 BANK OF AMERICA	MEETING EXPENSE	70.59
<b>Community Development Land Planning Services</b>		
203569 HCP WORKSHOP C/O IEH	REGISTRATION-GENTRY	50.00
353342 STATE OF CALIFORNIA	SALES TAX	3.69
353358 DYETT AND BHATIA	CONSULTING SERVICES	3,225.00
353393 PMC	PROFESSIONAL SERVICES	760.21
353404 STATE OF CALIFORNIA	FINGERPRINTING	32.00
<b>CD Code Enforcement</b>		
353228 ALLIED WASTE SERVICES	RESIDENTIAL GARBAGE	323.64
353266 GRAHAM, RYAN M	EXPENSE REIMBURSEMENT	32.19
353374 INTERWEST CONSULTING GROUP INC	CONSULTING SERVICES	12,405.00
353410 VERIZON WIRELESS	NETWORK	152.04
<b>PW Engineer Land Development</b>		
353342 STATE OF CALIFORNIA	SALES TAX	0.86
353384 NEXTEL SPRINT	CELL PHONE	168.35
922941 CDW GOVERNMENT INC	COMPUTER EQUIPMENT	53.93
922958 CDW GOVERNMENT INC	COMPUTER EQUIPMENT	1,332.08
922971 TESTING ENGINEERS INC	ENGINEERING SERVICES	2,620.00
<b>Community Development Building Inspection</b>		
353329 BANK OF AMERICA	CALBO-BOCCIO/MUNN/VIGIL	990.00
353384 NEXTEL SPRINT	CELL PHONE	57.21
353388 OFFICE MAX INC	OFFICE SUPPLIES	210.58
353399 RITE ROOTER PLUMBING	INSPECTION FEE REFUND	62.80
<b>Capital Imp. Administration</b>		
922941 CDW GOVERNMENT INC	COMPUTER EQUIPMENT	26.97
922958 CDW GOVERNMENT INC	COMPUTER EQUIPMENT	665.05
<b>Community Development Engineering Services</b>		
203703 UNITED STATES POSTAL SERVICE	POSTAGE	1.82
353384 NEXTEL SPRINT	CELL PHONE	57.52

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**212 CDBG Fund**

**CDBG**

353374 INTERWEST CONSULTING GROUP INC	CONSULTING SERVICES	15,082.50
922965 HOUSE, TERI	CONSULTING SERVICES	13,975.00

**213 Gas Tax Fund**

**Streets**

353344 CALIFORNIA PAVEMENT MAINT CO INC	PAVEMENT PROJECT	35,935.55
353391 PACIFIC GAS AND ELECTRIC CO	ELECTRIC	73.92
922970 PARSONS BRINCKERHOFF INC	PROFESSIONAL SERVICES	4,272.36

**214 Animal Control Fund**

**Animal Control**

353256 EAST BAY VETERINARY EMERGENCY	VETERINARY SERVICES	220.81
353258 EAST HILLS VETERINARY HOSPITAL	VETERINARY SERVICES	2,571.75
353285 MWI VETERINARY SUPPLY CO	VETERINARY SUPPLIES	3,458.98
353342 STATE OF CALIFORNIA	SALES TAX	197.83
353385 NEXTEL SPRINT	CELL PHONE	307.11
922968 LEES BUILDING MAINTENANCE	JANITORIAL SERVICES	436.00

**215 Civic Arts Fund**

**Civic Arts**

353252 CCC TAX COLLECTOR	PROPERTY TAXES	693.92
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**216 Park-In-Lieu Fund**

**Parks & Open Space**

353349 COMMERCIAL POOL SYSTEMS INC	POOL SUPPLIES	462.14
353376 JONES & MADHAVEN	PROFESSIONAL SERVICES	4,900.00

**219 Recreation Fund**

**Non Departmental**

353265 FRIENDS OF THE SF ESTUARY	DEPOSIT REFUND	500.00
353280 MARIN, YERANIA	DEPOSIT REFUND	1,000.00
353281 MARTINEZ, MARIA	DEPOSIT REFUND	340.00
353307 TUAKALAU, SELA	DEPOSIT REFUND	500.00
353318 ALAVAR-LACOURSE, CARMEN	DEPOSIT REFUND	200.00
353369 HARRIS, EMELINE	DEPOSIT REFUND	340.00
353372 HUB INTERNATIONAL OF CA INSURANCE	FACILITY INSURANCE	377.94
353402 STATE BOARD OF EQUALIZATION	SALES TAX	637.24

**Recreation Admin**

353350 CCC TAX COLLECTOR	PROPERTY TAX-NRCC	346.96
353371 HONEYWELL INTERNATIONAL INC	HVAC MAINTENANCE	5,406.25

**Senior Programs**

922968 LEES BUILDING MAINTENANCE	JANITORIAL SERVICES	336.00
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**Recreation Classes/Prog**

353259 EDUCATION TO GO	CONTRACTOR PAYMENT	201.75
353278 KOVALICK, LUANNE	CONTRACTOR PAYMENT	1,503.09
353352 COSTCO	SUPPLIES	281.39
353357 DUGAND, KARINA	CONTRACTOR PAYMENT	280.80
353400 ROBERTS, NANCY	CONTRACTOR PAYMENT	541.20

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**Recreation Camps**

353404 STATE OF CALIFORNIA FINGERPRINTING 160.00

**Recreation Sports Programs**

203623 A THRU Z ENTERPRISES INC DEPOSIT REFUND 100.00  
 353241 BIG SKY LOGOS AND EMBROIDERY YOUTH SHIRTS 797.12  
 353242 BSN SPORTS SPORTS EQUIPMENT 680.38  
 353247 CONCORD SOFTBALL UMPIRES UMPIRE FEES 1,976.00  
 353342 STATE OF CALIFORNIA SALES TAX 5.91  
 353404 STATE OF CALIFORNIA FINGERPRINTING 64.00  
 922961 CONSOLIDATED ELECTRICAL DIST INC SUPPLIES 1,859.54

**Recreation Concessions**

353317 ACTIVE NETWORK INC EQUIPMENT 348.50  
 353342 STATE OF CALIFORNIA SALES TAX 1.61  
 353347 COCA COLA BOTTLING CO CONCESSION SUPPLIES 1,115.93  
 353352 COSTCO CONCESSION SUPPLIES 258.85  
 353410 VERIZON WIRELESS NETWORK 76.02

**Recreation-New Comm Cntr**

353237 B T MANCINI CO INC DOOR HANDLE 120.00  
 353240 BAY BUILDING MAINTENANCE INC JANITORIAL SERVICES 995.00  
 353246 COMCAST CONNECTION SERVICES 1,600.66  
 353324 AT AND T MCI PHONE 63.29  
 353333 BAY CITIES PYROTECTOR FIRE SYSTEM CERTIFICATION 740.00  
 353352 COSTCO SUPPLIES 52.47  
 353371 HONEYWELL INTERNATIONAL INC HVAC MAINTENANCE 13,427.68  
 353380 MARLIES CLEANING SERVICE CLEANING SERVICES 335.00  
 353404 STATE OF CALIFORNIA FINGERPRINTING 64.00

**226 Solid Waste Reduction Fund**

**Solid Waste Used Oil**

203568 ASBURY ENVIRONMENTAL SERVICES OIL FILTER RECYCLING 45.00

**Solid Waste**

353374 INTERWEST CONSULTING GROUP INC CONSULTING SERVICES 5,357.50  
 353401 SIGN A RAMA INC LABELS 242.48

**228 Abandoned Vehicles Fund**

**Abandoned Vehicles**

353374 INTERWEST CONSULTING GROUP INC CONSULTING SERVICES 1,365.00

**229 Pollution Elimination Fund**

**Channel Maintenance Operation**

353282 MJH EXCAVATING INC EQUIPMENT RENTAL 2,720.00  
 353316 ACE HARDWARE, ANTIOCH SUPPLIES 60.79  
 353321 ANKA BEHAVIORAL HEALTH INC LANDSCAPE SERVICES 10,442.00  
 353326 ATLANTIS DIVING AND SALVAGE CO GATE INSPECTION 2,500.00  
 353342 STATE OF CALIFORNIA SALES TAX 1.35  
 353360 ECORP CONSULTING INC PROFESSIONAL SERVICES 450.00  
 353367 FURBER SAW INC SUPPLIES 293.90  
 353384 NEXTEL SPRINT CELL PHONE 48.84  
 922970 PARSONS BRINCKERHOFF INC CONSULTING SERVICES 1,457.04

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<b>251 Lone Tree SLLMD Fund</b>		
<b><i>Lonetree Maintenance Zone 2</i></b>		
353390	PACIFIC COAST LANDSCAPE MGMT INC	LANDSCAPE SERVICES 1,198.00
<b>252 Downtown SLLMD Fund</b>		
<b><i>Downtown Maintenance</i></b>		
353230	ANGLIM FLAGS	PENNANTS 407.11
353342	STATE OF CALIFORNIA	SALES TAX 1.83
353404	STATE OF CALIFORNIA	FINGERPRINTING 32.00
<b>254 Hillcrest SLLMD Fund</b>		
<b><i>Hillcrest Maintenance Zone 1</i></b>		
353390	PACIFIC COAST LANDSCAPE MGMT INC	LANDSCAPE SERVICES 4,285.00
<b><i>Hillcrest Maintenance Zone 2</i></b>		
353390	PACIFIC COAST LANDSCAPE MGMT INC	LANDSCAPE SERVICES 10,275.00
922949	JOHN DEERE LANDSCAPES PACHECO	CONTROLLER INSTALLATION 950.53
<b>257 SLLMD Administration Fund</b>		
<b><i>SLLMD Administration</i></b>		
353328	BANK OF AMERICA	TRAINING 20.00
353342	STATE OF CALIFORNIA	SALES TAX 25.42
353384	NEXTEL SPRINT	CELL PHONE 163.88
<b>311 Capital Improvement Fund</b>		
<b><i>Measure WW</i></b>		
353301	SRP COMPANY	FISHING PIER PAVILION 22,581.50
353328	BANK OF AMERICA	PORTABLE RESTROOM 200.00
353411	VK ENGINEERING INC	PROFESSIONAL SERVICES 840.00
922948	ICR ELECTRICAL CONTRACTORS	ELECTRICAL SERVICES 2,400.00
<b><i>Streets</i></b>		
353320	ANCHOR CONCRETE CONSTRUCTION	SIDEWALK REPAIR PROJECT 25,175.59
<b>312 Prewett Family Park Fund</b>		
<b><i>Parks &amp; Open Space</i></b>		
353329	BANK OF AMERICA	MICORPHONE 652.91
353352	COSTCO	SUPPLIES 741.18
922967	KARSTE CONSULTING INC	PROFESSIONAL SERVICES 1,980.00
<b>376 Lone Diamond Fund</b>		
<b><i>Assessment District</i></b>		
353332	BAY CITIES PAVING AND GRADING	LONE TREE PROJECT 86,851.44
353360	ECORP CONSULTING INC	PROFESSIONAL SERVICES 5,371.25
922970	PARSONS BRINCKERHOFF INC	PROFESSIONAL SERVICES 686.28
<b>415 APFA 02 Lease Revenue Ref Fund</b>		
<b><i>Non Departmental</i></b>		
353330	BANK OF NEW YORK MELLON	FISCAL AGENT FEE 2,915.00
<b>569 Vehicle Replacement Fund</b>		
<b><i>Equipment Maintenance</i></b>		
353342	STATE OF CALIFORNIA	SALES TAX 30.43
<b>570 Equipment Maintenance Fund</b>		
<b><i>Non Departmental</i></b>		
353271	HUNT AND SONS INC	FUEL 12,682.64

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353373 HUNT AND SONS INC	FUEL	29,376.03
<b>Equipment Maintenance</b>		
203499 DELTA LOCK KEY AND SAFE	LOCK REPAIR	30.00
353232 ANTIOCH AUTO PARTS	SUPPLIES	99.57
353238 BANK OF AMERICA	RECRUITMENT ADS	495.00
353257 EAST BAY WELDING SUPPLY	WELDER REPAIR	111.19
353263 FERRIS HOIST AND REPAIR INC	HOIST INSPECTION	405.00
353264 FIRST CHOICE UPHOLSTERY	UPHOLSTERY REPAIR	200.00
353284 MUNICIPAL MAINT EQUIPMENT INC	SUPPLIES	682.60
353292 PETERSON	TUBE KIT	520.94
353297 RELIABLE MOBLE AUTOMOTIVE	SENSORS	641.24
353304 SUPERIOR AUTO PARTS	MOTOR MOUNT	3.43
353305 TRED SHED, THE	TIRES	1,801.14
353313 WALNUT CREEK FORD	SEAT COVER	199.67
353315 WINTER CHEVROLET CO	OIL	113.62
353323 ARROWHEAD 24 HOUR TOWING INC	TOWING SERVICES	47.50
353328 BANK OF AMERICA	SUPPLIES	270.72
353342 STATE OF CALIFORNIA	SALES TAX	60.80
353387 OCCUPATIONAL HEALTH CENTERS	PREEMPLOYMENT MEDICAL	461.00
922937 A1 TRANSMISSION	TRANSMISSION SERVICE	1,577.52
922940 BIG SKY ENTERPRISES INC	TIRE DISPOSAL	138.70
922955 UNLIMITED GRAPHIC & SIGN NETWORK	REFLECTIVE DECALS	763.00
922963 GRAINGER INC	SUPPLIES	216.03
<b>573 Information Services Fund</b>		
<b>Information Services</b>		
353312 VERIZON WIRELESS	AIR CARD	76.02
353384 NEXTEL SPRINT	CELL PHONE	56.53
<b>Network Support &amp; PCs</b>		
353245 COMCAST	INTERNET SERVICE	152.75
353246 COMCAST	CONNECTION SERVICES	1,019.79
353325 AT AND T MCI	PHONE	357.32
353365 FRED PRYOR SEMINARS	TRAINING-URENO	159.00
353384 NEXTEL SPRINT	CELL PHONE	120.83
922958 CDW GOVERNMENT INC	COMPUTER EQUIPMENT	2,159.81
<b>Telephone System</b>		
353324 AT AND T MCI	PHONE	224.67
<b>GIS Support Services</b>		
353328 BANK OF AMERICA	PAPER PLOTTER	892.16
<b>Office Equipment Replacement</b>		
353229 AMS DOT NET INC	PROFESSIONAL SERVICES	5,000.00
353342 STATE OF CALIFORNIA	SALES TAX	30.22
353370 HEWLETT PACKARD COMPANY	HP NOTEBOOK	238.71
<b>577 Post Retirement Medical-Police Fund</b>		
<b>Non Departmental</b>		
353378 RETIREE	MEDICAL AFTER RETIREMENT	518.28

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**580 Loss Control Fund**

**Human Resources**

353387 OCCUPATIONAL HEALTH CENTERS	PREEMPLOYMENT MEDICAL	33.00
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**611 Water Fund**

**Non Departmental**

353267 HASA INC	BLEACH	1,117.89
353319 ALL PRO PRINTING SOLUTIONS	ENVELOPES	2,612.61
353342 STATE OF CALIFORNIA	SALES TAX	6.24
353362 FASTENAL CO	SUPPLIES	41.66
353414 WESCO RECEIVABLES CORP	SUPPLIES	77.87
353415 WORK WORLD	UNIFORM	122.00
922947 HAMMONS SUPPLY COMPANY	SUPPLIES	189.88
922962 GOLDEN WEST BETTERWAY UNIFORMS	SUPPLIES	248.55
922963 GRAINGER INC	SUPPLIES	432.00
922964 HAMMONS SUPPLY COMPANY	SUPPLIES	1,341.25

**Water Supervision**

203498 CITY OF ANTIOCH	EXPENSE REIMBURSEMENT	15.79
353239 BAY AREA NEWS GROUP	LEGAL AD	382.70
353328 BANK OF AMERICA	COMPUTER HARDWARE	129.40
353384 NEXTEL SPRINT	CELL PHONE	104.22
353389 ONLINE RESOURCES	REFUND	65.00

**Water Production**

203483 COSTCO	SUPPLIES	97.49
203484 LESLIES POOL SUPPLIES	LEAF RAKE	35.20
353227 AIR FILTER/CONTROL	HVAC FILTERS	387.57
353231 ANIMAL DAMAGE MANAGEMENT	ANIMAL CONTROL SERVICES	125.00
353260 ENERGY SYSTEMS INC	OIL & FUEL FILTERS	377.74
353277 KARL NEEDHAM ENTERPRISES INC	EQUIPMENT RENTAL	24,629.18
353316 ACE HARDWARE, ANTIOCH	TUBING	23.98
353322 ANTIOCH AUTO PARTS	LENS	11.00
353324 AT AND T MCI	PHONE	126.60
353335 BERNAL JR, ROWLAND	EXPENSE REIMBURSEMENT	755.60
353342 STATE OF CALIFORNIA	SALES TAX	17.71
353351 CONTRA COSTA WATER DISTRICT	RAW WATER	1,012,849.83
353384 NEXTEL SPRINT	CELL PHONE	102.60
353388 OFFICE MAX INC	SUPPLIES	30.76
353391 PACIFIC GAS AND ELECTRIC CO	ELECTRIC	216.75
353412 WALTER BISHOP CONSULTING	CONSULTING SERVICES	1,129.38
922942 CHEMTRADE CHEMICALS US LLC	ALUM	11,789.93
922943 CONSOLIDATED ELECTRICAL DIST INC	LIGHTS	3,270.00
922945 EVOQUA WATER TECHNOLOGIES LLC	SPROCKETS	2,746.80
922946 GRAINGER INC	BATTERIES	56.51
922948 ICR ELECTRICAL CONTRACTORS	ELECTRICAL SERVICES	3,287.29
922953 OLIN CHLOR ALKALI PRODUCTS	CAUSTIC	11,308.94
922954 SIERRA CHEMICAL CO	CHLORINE	8,147.58
922957 AIRGAS SPECIALTY PRODUCTS	AMMONIA	3,512.88

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922959	CHEMTRADE CHEMICALS US LLC	ALUM	14,047.66
922968	LEES BUILDING MAINTENANCE	JANITORIAL SERVICES	292.00
<b>Water Distribution</b>			
353231	ANIMAL DAMAGE MANAGEMENT	PEST CONTROL SERVICES	100.00
353238	BANK OF AMERICA	RECRUITMENT ADS	844.00
353239	BAY AREA NEWS GROUP	LEGAL AD	194.02
353274	INFOSEND INC	PRINT/MAIL SERVICES	2,430.72
353298	ROBERTS AND BRUNE CO	PIPE & FITTINGS	675.68
353308	TYLER TECHNOLOGIES	MONTHLY INSITE FEES	580.00
353309	UNITED PARCEL SERVICE	SHIPPING	61.48
353328	BANK OF AMERICA	MEETING EXPENSE	29.98
353335	BERNAL JR, ROWLAND	EXPENSE REIMBURSEMENT	189.28
353342	STATE OF CALIFORNIA	SALES TAX	50.43
353355	DELTA DIABLO	RECYCLED WATER	9,013.86
353364	FINTA ENTERPRISES INC	EXCAVATOR SERVICE	6,000.00
353366	FRIGARD CHIROPRACTIC AND	DMV PHYSICALS	150.00
353384	NEXTEL SPRINT	CELL PHONE	364.08
353408	UNITED PARCEL SERVICE	SHIPPING	97.79
922936	3M AOSAFETY EYEWARE	SAFETY GLASSES	462.78
922944	CRYSTAL CLEAR LOGOS INC	CAPS/SHIRTS	1,000.00
922946	GRAINGER INC	SUPPLIES	336.50
922963	GRAINGER INC	SUPPLIES	2,334.23
<b>Water Meter Reading</b>			
353384	NEXTEL SPRINT	CELL PHONE	47.17
<b>Public Buildings &amp; Facilities</b>			
353239	BAY AREA NEWS GROUP	LEGAL AD	423.64
353377	KOCH AND KOCH INC	PUMP STATION PROJECT	33,802.57
922952	NICHOLS CONSULTING ENGINEERS	CONSULTING SERVICES	1,608.46
922969	NICHOLS CONSULTING ENGINEERS	CONSULTING SERVICES	1,648.08
<b>Warehouse &amp; Central Stores</b>			
353309	UNITED PARCEL SERVICE	WEEKLY PRINTER SERVICE FEE	2.00
353350	CCC TAX COLLECTOR	PROPERTY TAX-STORES	346.96
353384	NEXTEL SPRINT	CELL PHONE	55.84
353408	UNITED PARCEL SERVICE	WEEKLY PRINTER SERVICE FEE	15.30
<b>621 Sewer Fund</b>			
<b>Sewer-Wastewater Supervision</b>			
203498	LESLIES POOL SUPPLIES	EXPENSE REIMBURSEMENT	15.00
353244	CHALK, BRANDON S	LODGING REIMBURSEMENT	197.68
<b>Sewer-Wastewater Collection</b>			
203498	CITY OF ANTIOCH	EXPENSE REIMBURSEMENT	24.53
353238	BANK OF AMERICA	RECRUITMENT ADS	745.00
353239	BAY AREA NEWS GROUP	LEGAL AD	194.02
353274	INFOSEND INC	PRINT/MAIL SERVICES	2,430.72
353282	MJH EXCAVATING INC	EQUIPMENT RENTAL	1,417.50
353283	MT DIABLO LANDSCAPE CENTERS INC	CONCRETE MIX	1,017.85
353286	OCCUPATIONAL HEALTH CENTERS	PROFESSIONAL SERVICES	305.00

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353300	SCOTTO, CHARLES W AND DONNA F	PROPERTY LEASE	4,500.00
353308	TYLER TECHNOLOGIES	MONTHLY INSITE FEES	100.00
353323	ARROWHEAD 24 HOUR TOWING INC	TOWING SERVICES	187.50
353328	BANK OF AMERICA	SUPPLIES	222.38
353335	BERNAL JR, ROWLAND	EXPENSE REIMBURSEMENT	755.60
353342	STATE OF CALIFORNIA	SALES TAX	68.45
353364	FINTA ENTERPRISES INC	EXCAVATOR SERVICE	6,000.00
353366	FRIGARD CHIROPRACTIC AND	DMV PHYSICALS	75.00
353384	NEXTEL SPRINT	CELL PHONE EQUIPMENT	743.81
353387	OCCUPATIONAL HEALTH CENTERS	PREEMPLOYMENT MEDICAL	936.65
353397	RAIN FOR RENT INC	EMERGENCY SERVICES	44,143.36
353404	STATE OF CALIFORNIA	FINGERPRINTING	64.00
922944	CRYSTAL CLEAR LOGOS INC	CAPS/SHIRTS	1,000.00
<b>Wastewater Collection</b>			
922952	NICHOLS CONSULTING ENGINEERS	CONSULTING SERVICES	1,608.45
922969	NICHOLS CONSULTING ENGINEERS	CONSULTING SERVICES	1,648.08
<b>622 Sewer Facilities Expansion Fund</b>			
<b>Wastewater Collection</b>			
353334	BENCHMARK CONSULTANTS	SURVEYING SERVICES	1,720.00
353398	RGW CONSTRUCTION INC	SEWER MAIN PROJECT	191,140.00
<b>631 Marina Fund</b>			
<b>Non Departmental</b>			
353403	STATE BOARD OF EQUALIZATION	SALES TAX	1,131.01
<b>Marina Administration</b>			
203779	UNITED STATES POSTAL SERVICE	POSTAGE	12.98
353262	FASTENAL CO	SUPPLIES	98.43
353342	STATE OF CALIFORNIA	SALES TAX	36.81
353384	NEXTEL SPRINT	CELL PHONE	56.53
922948	ICR ELECTRICAL CONTRACTORS	ELECTRICAL SERVICES	195.11
<b>Marina Maintenance</b>			
353328	BANK OF AMERICA	SUPPLIES	211.93
922968	LEES BUILDING MAINTENANCE	JANITORIAL SERVICES	1,200.00
<b>641 Prewett Water Park Fund</b>			
<b>Non Departmental</b>			
353372	HUB INTERNATIONAL INSURANCE	FACILITY INSURANCE	100.98
353402	STATE BOARD OF EQUALIZATION	SALES TAX	4,595.59
<b>Recreation Aquatics</b>			
353352	COSTCO	SUPPLIES	53.37
353383	MUIR, ROXANNE	WATER AEROBICS INSTRUCTOR	87.50
353404	STATE OF CALIFORNIA	FINGERPRINTING	320.00
<b>Recreation Water Park</b>			
353314	WATERLINE TECHNOLOGIES	POOL CHEMICALS	4,488.20
353329	BANK OF AMERICA	SUPPLIES	242.52
353342	STATE OF CALIFORNIA	SALES TAX	8.74
353348	COLE SUPPLY CO INC	SUPPLIES	346.69
353349	COMMERCIAL POOL SYSTEMS INC	POOL SUPPLIES	8,572.21

Prepared by: Georgina Meek  
 Finance Accounting



CITY OF ANTIOCH  
 CLAIMS BY FUND REPORT  
 FOR THE PERIOD OF  
 OCTOBER 3-16, 2014  
 FUND/CHECK#

353353	CRESO EQUIPMENT RENTALS	EQUIPMENT	511.80
353371	HONEYWELL INTERNATIONAL INC	HVAC MAINTENANCE	2,389.00
353381	MIRACLE METHOD INC	SLIDE REPAIR	1,495.00
353386	OAKLEYS PEST CONTROL	PEST CONTROL SERVICES	150.00
353394	PRAXAIR DISTRIBUTION INC	OXYGEN	101.75
353404	STATE OF CALIFORNIA	FINGERPRINTING	864.00
353405	STATE OF CALIFORNIA	INSPECTION FEES	1,950.00
353413	WATERLINE TECHNOLOGIES	POOL CHEMICALS	2,378.33
353416	WRISTBANDS MEDTECH	WRISTBANDS	530.70
922961	CONSOLIDATED ELECTRICAL DIST INC	SUPPLIES	126.22
<b>Recreation Community Cnter</b>			
922963	GRAINGER INC	SUPPLIES	619.82
<b>Rec Prewett Concessions</b>			
353317	ACTIVE NETWORK INC	EQUIPMENT	1,432.21
353342	STATE OF CALIFORNIA	SALES TAX	6.60
353404	STATE OF CALIFORNIA	FINGERPRINTING	160.00
353409	US FOODSERVICE INC	CONCESSION SUPPLIES	57.38
<b>721 Employee Benefits Fund</b>			
<b>Non Departmental</b>			
353250	CONTRA COSTA COUNTY	PAYROLL DEDUCTIONS	400.00
353251	CONTRA COSTA COUNTY	PAYROLL DEDUCTIONS	50.00
353275	INTERNAL REVENUE SERVICE	PAYROLL DEDUCTIONS	60.00
353288	OPERATING ENGINEERS TRUST FUND	PAYROLL DEDUCTIONS	6,850.08
353290	PARS	PAYROLL DEDUCTIONS	3,213.36
353291	PERS LONG TERM CARE	PAYROLL DEDUCTIONS	72.02
353302	STATE OF CALIFORNIA	PAYROLL DEDUCTIONS	200.00
353303	STATE OF FLORIDA DISBURSE UNIT	PAYROLL DEDUCTIONS	275.00
353306	RECIPIENT	PAYROLL DEDUCTIONS	112.15
353396	PERS	PAYROLL DEDUCTIONS	328,842.41
922951	NATIONWIDE RETIREMENT SOLUTIONS	PAYROLL DEDUCTIONS	24,135.26
922956	VANTAGEPOINT TRANSFER AGENTS	PAYROLL DEDUCTIONS	3,609.13



STAFF REPORT TO THE CITY COUNCIL  
FOR CONSIDERATION AT THE COUNCIL MEETING OF OCTOBER 28, 2014

SUBMITTED BY: Donna Conley, City Treasurer  
DATE October 28, 2014  
SUBJECT: Treasurer's Report – AUGUST 2014

RECOMMENDATION: Review and file.

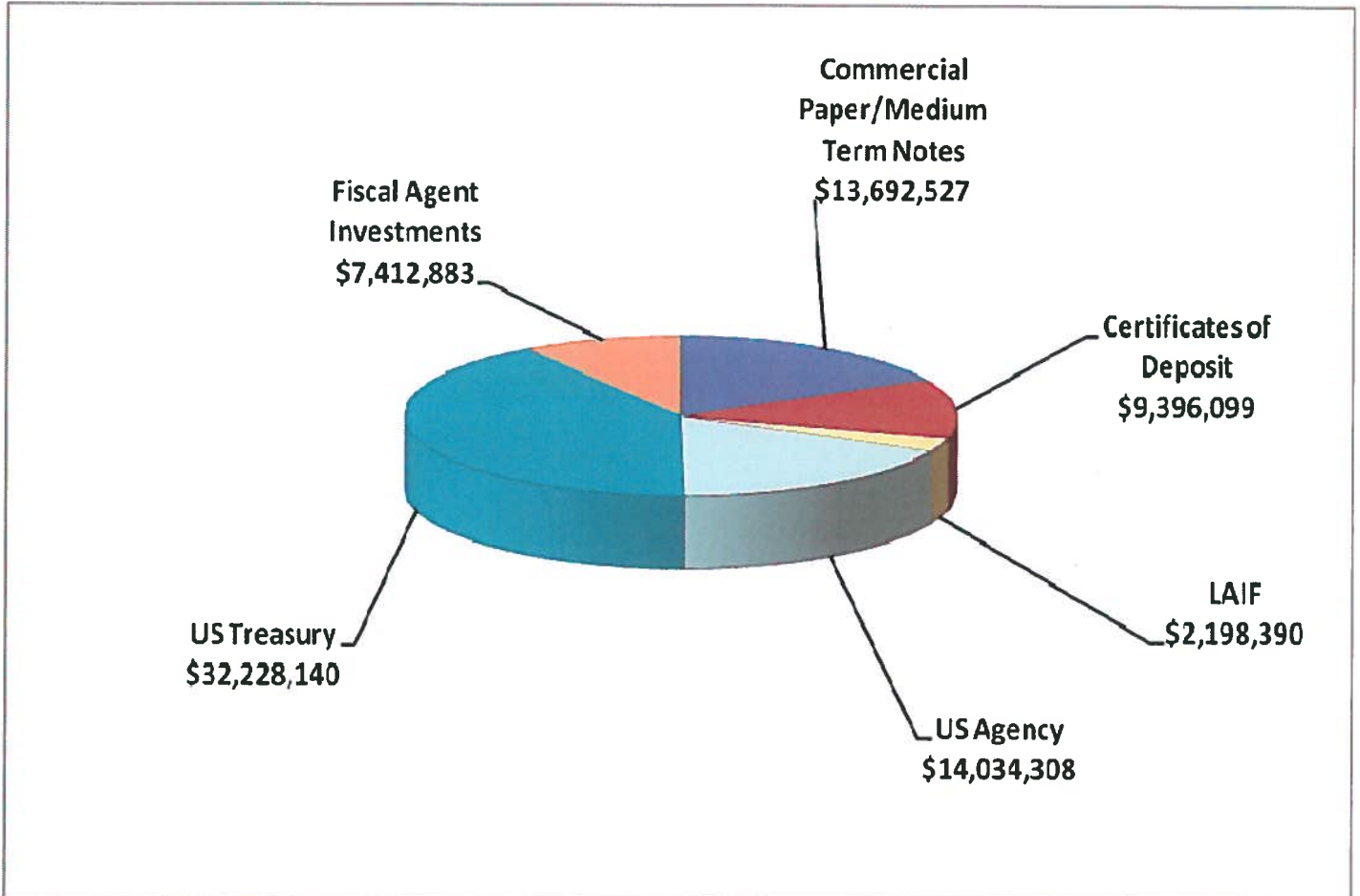
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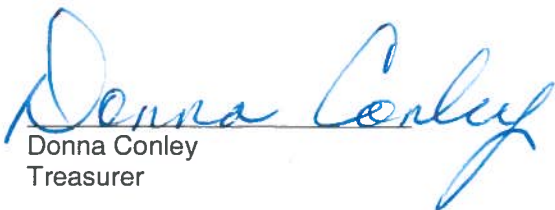
CITY OF ANTIOCH  
SUMMARY REPORT ON THE CITY'S INVESTMENTS

AUGUST 31, 2014



**Total of City and Fiscal Agent Investments = \$78,962,347**

All City investments are shown above and conform to the City Investment Policy. All investment transactions during this period are included in this report. As Treasurer of the City of Antioch and Finance Director of the City of Antioch, we hereby certify that sufficient investment liquidity and anticipated revenue are available to meet the next six (6) months' estimated expenditures.

  
Donna Conley  
Treasurer

  
Dawn Merchant  
Finance Director

**Summary of Fiscal Agent Balances by  
Debt Issue**

	<u>Amount</u>
Antioch Public Financing Authority 2002 Lease Revenue Bonds	285,576
Antioch Public Financing Authority 1998 Reassessment Revenue Bonds	5,113,462
Antioch Development Agency 2000 Tax Allocation Bonds	1,311,237
Antioch Development Agency 2009 Tax Allocation Bonds	270,016
ABAG Lease Revenue Bonds	<u>432,591</u>
	<u><u>\$7,412,883</u></u>



# Managed Account Summary Statement

For the Month Ending August 31, 2014

CITY OF ANTIOCH, CA - 04380500

## Transaction Summary - Managed Account

<b>Opening Market Value</b>	<b>\$68,892,982.62</b>
Maturities/Calls	0.00
Principal Dispositions	(7,946,279.44)
Principal Acquisitions	8,210,056.16
Unsettled Trades	0.00
Change in Current Value	45,066.74
<b>Closing Market Value</b>	<b>\$69,201,826.08</b>

## Cash Transactions Summary - Managed Account

Maturities/Calls	0.00
Sale Proceeds	7,961,860.93
Coupon/Interest/Dividend Income	25,267.50
Principal Payments	0.00
Security Purchases	(8,211,927.28)
Net Cash Contribution	224,798.85
Reconciling Transactions	0.00

## Earnings Reconciliation (Cash Basis) - Managed Account

Interest/Dividends/Coupons Received	40,848.99
Less Purchased Interest Related to Interest/Coupons	(1,871.12)
Plus Net Realized Gains/Losses	8,011.73
<b>Total Cash Basis Earnings</b>	<b>\$46,989.60</b>

## Cash Balance

**Closing Cash Balance** **\$0.00**

## Earnings Reconciliation (Accrual Basis)

	<b>Total</b>
Ending Amortized Value of Securities	69,129,248.98
Ending Accrued Interest	154,813.34
Plus Proceeds from Sales	7,961,860.93
Plus Proceeds of Maturities/Calls/Principal Payments	0.00
Plus Coupons/Dividends Received	25,267.50
Less Cost of New Purchases	(8,211,927.28)
Less Beginning Amortized Value of Securities	(68,872,865.95)
Less Beginning Accrued Interest	(127,095.86)

**Total Accrual Basis Earnings** **\$59,301.66**



**PFM Asset Management LLC**



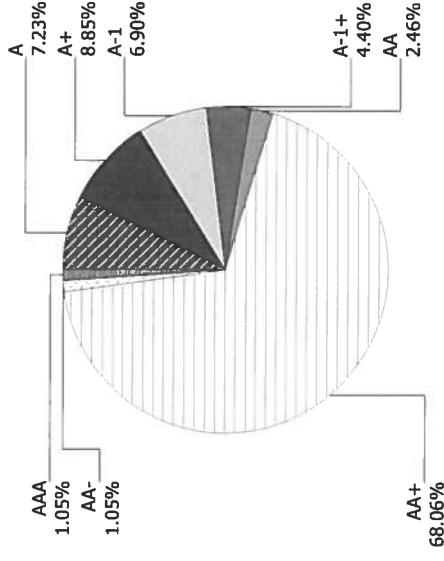
**Managed Account Issuer Summary**

CITY OF ANTIOCH, CA - 04380500

**Issuer Summary**

**Credit Quality (S&P Ratings)**

Issuer	Market Value of Holdings	Percent
AMERICAN HONDA FINANCE	588,433.95	0.85
APPLE INC	2,081,231.04	3.01
BANK OF NEW YORK	1,067,332.35	1.54
BANK OF NOVA SCOTIA	1,350,742.50	1.95
BERKSHIRE HATHAWAY INC	936,270.06	1.35
CA ST DEPT OF WATER REV BONDS	501,050.00	0.72
CATERPILLAR INC	770,026.18	1.11
CREDIT SUISSE GROUP	1,701,298.80	2.46
DEERE & COMPANY	1,064,161.85	1.54
FANNIE MAE	2,447,072.13	3.54
FEDERAL HOME LOAN BANKS	4,550,253.01	6.58
FREDDIE MAC	4,448,002.62	6.43
GENERAL ELECTRIC CO	1,342,608.40	1.94
GOLDMAN SACHS GROUP INC	1,374,546.25	1.99
HSBC HOLDINGS PLC	567,503.52	0.82
JP MORGAN CHASE & CO	1,656,859.15	2.39
MET WATER DISTRICT OF SOUTHERN CA	226,136.25	0.33
RABOBANK NEDERLAND	1,694,612.70	2.45
SKANDINAVISKA ENSKIDA BANKEN AB	1,701,586.10	2.46
STATE OF CALIFORNIA	1,512,327.50	2.19
TOYOTA MOTOR CORP	727,697.00	1.05
UNITED STATES TREASURY	32,226,334.69	46.56
UNIVERSITY OF CALIFORNIA	365,760.30	0.53
WAL-MART STORES INC	400,598.00	0.58
WELLS FARGO & COMPANY	2,547,645.63	3.68
WESTPAC BANKING CORP NY	1,351,736.10	1.95
<b>Total</b>	<b>\$69,201,826.08</b>	<b>100.00%</b>





## Managed Account Detail of Securities Held

For the Month Ending August 31, 2014

**CITY OF ANTIOCH, CA - 04380500**

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value	
<b>U.S. Treasury Bond / Note</b>												
US TREASURY NOTES DTD 11/30/2010 1.375% 11/30/2015	912828PJ3	1,900,000.00	AA+	Aaa	11/29/12	12/05/12	1,957,816.40	0.35	6,638.32	1,924,193.02	1,927,608.90	
US TREASURY NOTES DTD 01/31/2011 2.000% 01/31/2016	912828PS3	920,000.00	AA+	Aaa	03/04/14	03/05/14	949,756.25	0.30	1,600.00	942,043.71	942,389.06	
US TREASURY NOTES DTD 01/31/2014 0.375% 01/31/2016	912828B41	4,650,000.00	AA+	Aaa	02/04/14	02/07/14	4,655,994.14	0.31	1,516.30	4,654,280.09	4,657,449.30	
US TREASURY NOTES DTD 05/02/2011 2.000% 04/30/2016	912828OF0	950,000.00	AA+	Aaa	03/27/13	03/28/13	997,277.34	0.38	6,402.17	975,503.10	975,420.10	
US TREASURY NOTES DTD 06/02/2014 0.375% 05/31/2016	912828WM8	2,800,000.00	AA+	Aaa	06/02/14	06/03/14	2,799,562.50	0.38	2,668.03	2,799,616.40	2,798,686.80	
US TREASURY NOTES DTD 06/17/2013 0.500% 06/15/2016	912828VG2	260,000.00	AA+	Aaa	04/08/14	04/11/14	259,969.53	0.51	277.05	259,975.14	260,345.28	
US TREASURY NOTES DTD 06/30/2009 3.250% 06/30/2016	912828KZ2	2,200,000.00	AA+	Aaa	05/10/13	05/15/13	2,394,218.75	0.41	12,240.49	2,313,880.73	2,311,203.13	
US TREASURY NOTES DTD 06/30/2009 3.250% 06/30/2016	912828KZ2	2,950,000.00	AA+	Aaa	05/22/13	05/24/13	3,204,783.20	0.44	16,413.38	3,100,617.35	3,099,113.28	
US TREASURY NOTES DTD 06/30/2009 3.250% 06/30/2016	912828KZ2	3,300,000.00	AA+	Aaa	05/24/13	05/31/13	3,574,570.31	0.53	18,360.73	3,463,407.45	3,466,804.69	
US TREASURY NOTES DTD 08/31/2011 1.000% 08/31/2016	912828RF9	2,265,000.00	AA+	Aaa	02/27/14	03/03/14	2,293,577.93	0.49	62.57	2,287,933.28	2,286,764.39	
US TREASURY NOTES DTD 11/30/2011 0.875% 11/30/2016	912828RU6	2,385,000.00	AA+	Aaa	10/31/13	11/01/13	2,403,446.48	0.62	5,302.72	2,398,489.44	2,397,669.12	
US TREASURY NOTES DTD 11/30/2011 0.875% 11/30/2016	912828RU6	3,110,000.00	AA+	Aaa	11/27/13	12/03/13	3,136,726.56	0.58	6,914.65	3,130,105.78	3,126,520.32	
US TREASURY NOTES DTD 03/31/2012 1.000% 03/31/2017	912828SM3	540,000.00	AA+	Aaa	03/19/14	03/21/14	541,244.53	0.92	2,272.13	541,062.67	542,868.48	
US TREASURY NOTES DTD 05/31/2012 0.625% 05/31/2017	912828SV7	2,800,000.00	AA+	Aaa	06/02/14	06/03/14	2,784,468.75	0.81	4,446.72	2,785,732.49	2,781,844.80	



**PFM Asset Management LLC**



## Managed Account Detail of Securities Held

For the Month Ending August 31, 2014

**CITY OF ANTIOCH, CA - 04380500**

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
<b>U.S. Treasury Bond / Note</b>										
US TREASURY NOTES DTD 07/31/2012 0.500% 07/31/2017	912828TG5	AA+	Aaa	07/01/14	07/07/14	650,847.65	0.96	286.96	651,299.68	651,647.04
<b>Security Type Sub-Total</b>						<b>32,604,260.32</b>	<b>0.49</b>	<b>85,402.22</b>	<b>32,228,140.33</b>	<b>32,226,334.69</b>
<b>Municipal Bond / Note</b>										
UNIV OF CAL TXBL REV BONDS DTD 10/02/2013 0.528% 05/15/2015	91412GSW6	AA	Aa2	09/26/13	10/02/13	230,000.00	0.53	357.57	230,000.00	230,301.30
METRO WTR DIST AUTH, CA TXBL REV BONDS	59266THO7	AAA	Aa1	06/21/12	06/28/12	225,000.00	0.94	353.63	225,000.00	226,136.25
DTD 06/28/2012 0.943% 07/01/2015										
CA ST DEPT OF WATER TXBL REV BONDS DTD 09/27/2012 0.650% 12/01/2015	13066KX87	AAA	Aa1	09/19/12	09/27/12	500,000.00	0.65	812.50	500,000.00	501,050.00
CA ST TXBL GO BONDS DTD 03/27/2013 1.050% 02/01/2016	13063BN73	A	Aa3	03/13/13	03/27/13	551,859.00	0.93	481.25	550,931.18	554,015.00
UNIV OF CAL TXBL REV BONDS DTD 10/02/2013 0.907% 05/15/2016	91412GSX4	AA	Aa2	09/26/13	10/02/13	135,000.00	0.91	360.53	135,000.00	135,459.00
CA ST TAXABLE GO BONDS DTD 11/05/2013 1.250% 11/01/2016	13063CFD7	A	Aa3	10/22/13	11/05/13	954,455.50	1.09	3,958.33	953,243.52	958,312.50
<b>Security Type Sub-Total</b>						<b>2,596,314.50</b>	<b>0.90</b>	<b>6,323.81</b>	<b>2,594,174.70</b>	<b>2,605,274.05</b>
<b>Federal Agency Bond / Note</b>										
FHLB (CALLABLE) GLOBAL NOTES DTD 12/30/2013 0.375% 12/30/2015	3130A0GK0	AA+	Aaa	12/12/13	12/30/13	1,374,587.50	0.39	873.70	1,374,725.23	1,375,218.63
FANNIE MAE GLOBAL NOTES DTD 02/15/2013 0.500% 03/30/2016	3135GOVA8	AA+	Aaa	02/14/13	02/15/13	799,088.00	0.54	1,677.78	799,536.59	801,108.00
FREDDIE MAC GLOBAL NOTES DTD 03/07/2013 0.500% 05/13/2016	3137EADO9	AA+	Aaa	03/06/13	03/07/13	239,985.60	0.50	360.00	239,992.19	240,267.60
FNMA NOTES DTD 08/19/2011 1.250% 09/28/2016	3135GOCM3	AA+	Aaa	10/01/13	10/03/13	664,674.35	0.75	3,479.69	661,745.17	663,450.16







## Managed Account Detail of Securities Held

For the Month Ending August 31, 2014

**CITY OF ANTIOCH, CA - 04380500**

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	Par	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
<b>Federal Agency Bond / Note</b>											
FNMA NOTES DTD 08/19/2011 1.250% 09/28/2016	3135G0CM3	970,000.00	AA+	Aaa	10/01/13	10/03/13	984,555.92	0.74	5,153.13	980,148.34	982,513.97
FHLB NOTES DTD 08/07/2014 0.500% 09/28/2016	3130A2T97	1,860,000.00	AA+	Aaa	08/06/14	08/07/14	1,855,815.00	0.61	620.00	1,855,943.86	1,855,636.44
FEDERAL HOME LOAN BANKS (CALLABLE) DTD 03/27/2014 1.625% 03/27/2017	3130A1CR7	1,310,000.00	AA+	Aaa	04/02/14	04/04/14	1,324,396.90	1.25	9,106.32	1,318,409.30	1,319,397.94
FREDDIE MAC GLOBAL NOTES DTD 06/25/2012 1.000% 07/28/2017	3137EADJ5	1,610,000.00	AA+	Aaa	08/12/14	08/14/14	1,609,800.36	1.00	1,475.83	1,609,803.66	1,609,133.82
FREDDIE MAC GLOBAL NOTES DTD 06/25/2012 1.000% 07/28/2017	3137EADJ5	2,600,000.00	AA+	Aaa	08/12/14	08/14/14	2,599,825.80	1.00	2,383.33	2,599,828.79	2,598,601.20
<b>Security Type Sub-Total</b>		<b>11,420,000.00</b>					<b>11,452,729.43</b>	<b>0.81</b>	<b>25,129.78</b>	<b>11,440,133.13</b>	<b>11,445,327.76</b>
<b>Corporate Note</b>											
WELLS FARGO & COMPANY DTD 06/27/2012 1.500% 07/01/2015	94974BFE5	750,000.00	A+	A2	03/26/13	03/28/13	762,978.08	0.73	1,875.00	754,813.49	757,189.50
WELLS FARGO & COMPANY DTD 06/27/2012 1.500% 07/01/2015	94974BFE5	750,000.00	A+	A2	03/27/13	03/28/13	762,757.50	0.74	1,875.00	754,732.13	757,189.50
JPMORGAN CHASE & CO GLOBAL NOTES DTD 10/18/2012 1.100% 10/15/2015	46623E1R1	650,000.00	A	A3	10/15/12	10/18/12	649,733.50	1.11	2,701.11	649,898.95	652,906.15
BANK OF NEW YORK MELLON (CALLABLE) DTD 10/25/2012 0.700% 10/23/2015	06406HCD9	425,000.00	A+	A1	10/18/12	10/25/12	424,562.25	0.73	1,057.78	424,831.58	425,930.75
BANK OF NEW YORK MELLON (CALLABLE) DTD 10/25/2012 0.700% 10/23/2015	06406HCD9	640,000.00	A+	A1	12/17/12	12/20/12	638,067.20	0.81	1,592.89	639,216.77	641,401.60
WAL-MART STORES INC GLOBAL NOTES DTD 04/11/2013 0.600% 04/11/2016	931142DE0	400,000.00	AA	Aa2	04/04/13	04/11/13	399,716.00	0.62	933.33	399,846.84	400,598.00
APPLE INC GLOBAL NOTES DTD 05/03/2013 0.450% 05/03/2016	037833AH3	380,000.00	AA+	Aa1	04/30/13	05/03/13	379,312.20	0.51	560.50	379,615.35	379,395.04
TOYOTA MOTOR CREDIT CORP DTD 05/17/2013 0.800% 05/17/2016	89236TAL9	725,000.00	AA-	Aa3	05/14/13	05/17/13	724,702.75	0.81	1,675.56	724,829.60	727,697.00



**PFM Asset Management LLC**



## Managed Account Detail of Securities Held

For the Month Ending August 31, 2014

**CITY OF ANTIOCH, CA - 04380500**

Security Type/Description Dated Date/Coupon/Maturity	CUSIP	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value
<b>Corporate Note</b>										
GENERAL ELEC CAP CORP (FLOATING) DTD 07/12/2013 0.884% 07/12/2016	36962G7A6	AA+	A1	07/09/13	07/12/13	1,330,000.00	0.93	1,599.56	1,330,000.00	1,342,608.40
WELLS FARGO & COMPANY DTD 07/29/2013 1.250% 07/20/2016	94974BFL9	A+	A2	07/22/13	07/29/13	1,024,016.00	1.28	1,459.20	1,024,371.30	1,033,266.63
BERKSHIRE HATHAWAY FIN GLOBAL NOTES DTD 08/15/2013 0.950% 08/15/2016	084664BX8	AA	Aa2	08/06/13	08/15/13	929,507.10	0.97	392.67	929,677.10	936,270.06
AMERICAN HONDA FINANCE GLOBAL NOTES DTD 10/10/2013 1.125% 10/07/2016	02665WAB7	A+	A1	10/03/13	10/10/13	582,964.20	1.24	2,632.50	583,563.14	588,433.95
JPMORGAN CHASE & CO DTD 02/18/2014 1.350% 02/15/2017	46623EJY6	A	A3	02/12/14	02/18/14	999,500.00	1.37	600.00	999,587.82	1,003,953.00
APPLE INC CORP NOTE DTD 05/06/2014 1.050% 05/05/2017	037833AM2	AA+	Aa1	04/29/14	05/06/14	1,699,099.00	1.07	5,702.08	1,699,193.78	1,701,836.00
JOHN DEERE CAPITAL CORP NOTES DTD 06/12/2014 1.125% 06/12/2017	24422ESN0	A	A2	06/09/14	06/12/14	1,064,499.45	1.14	2,629.22	1,064,535.54	1,064,161.85
HSBC USA INC DTD 06/23/2014 1.300% 06/23/2017	40434CAA3	A+	A2	06/16/14	06/23/14	564,141.20	1.35	1,387.39	564,194.37	567,503.52
CATERPILLAR FINANCIAL SE DTD 08/20/2014 1.250% 08/18/2017	14912L6D8	A	A2	08/13/14	08/20/14	769,615.00	1.27	294.10	769,618.86	770,026.18
<b>Security Type Sub-Total</b>	<b>13,690,000.00</b>					<b>13,705,171.43</b>	<b>1.02</b>	<b>28,967.89</b>	<b>13,692,526.62</b>	<b>13,750,367.13</b>
<b>Certificate of Deposit</b>										
CREDIT SUISSE NEW YORK CERT DEPOS (FLOAT) DTD 07/15/2013 0.634% 01/15/2015	22549TDK1	A-1	P-1	07/11/13	07/15/13	1,700,000.00	0.68	1,436.16	1,700,000.00	1,701,298.80
SKANDINAVISKA ENSKILDA BY NY FLOAT CD DTD 01/10/2014 0.552% 01/04/2016	83051HUD6	A-1	P-1	01/07/14	01/10/14	1,700,000.00	0.56	1,485.97	1,700,000.00	1,701,586.10
WESTPAC BANKING CORP NY LT FLOAT CD DTD 04/17/2014 0.414% 04/15/2016	96121TWF1	A-1+	P-1	04/16/14	04/17/14	1,350,000.00	0.41	744.48	1,350,000.00	1,351,736.10



**PFM Asset Management LLC**



**Managed Account Detail of Securities Held**

For the Month Ending August 31, 2014

CITY OF ANTIOCH, CA - 04380500

Security Type/Description	Dated Date/Coupon/Maturity	CUSIP	S&P Rating	Moody's Rating	Trade Date	Settle Date	Original Cost	YTM at Cost	Accrued Interest	Amortized Cost	Market Value	
<b>Certificate of Deposit</b>												
RABOBANK NEDERLAND NV NY CD	DTD 05/13/2014 0.716% 05/06/2016	21684BPV0	A-1+	P-1	05/09/14	05/13/14	1,700,000.00	0.71	3,652.88	1,700,000.00	1,694,612.70	
BANK OF NOVA SCOTIA HOUS CD FLOAT	DTD 06/13/2014 0.410% 06/10/2016	06417HMIU7	A+	Aa2	06/11/14	06/13/14	1,349,184.60	0.28	1,229.40	1,349,274.20	1,350,742.50	
GOLDMAN SACHS BANK USA CD	DTD 08/19/2014 0.900% 08/12/2016	38147J2L5	A-1	P-1	08/14/14	08/19/14	1,375,000.00	0.90	440.75	1,375,000.00	1,374,546.25	
<b>Security Type Sub-Total</b>			<b>9,175,000.00</b>				<b>9,174,184.60</b>	<b>0.60</b>	<b>8,989.64</b>	<b>9,174,274.20</b>	<b>9,174,522.45</b>	
<b>Managed Account Sub-Total</b>			<b>68,565,000.00</b>				<b>69,532,660.28</b>	<b>0.68</b>	<b>154,813.34</b>	<b>69,129,248.98</b>	<b>69,201,826.08</b>	
<b>Securities Sub-Total</b>			<b>\$68,565,000.00</b>				<b>\$69,532,660.28</b>	<b>0.68%</b>	<b>\$154,813.34</b>	<b>\$69,129,248.98</b>	<b>\$69,201,826.08</b>	
<b>Accrued Interest</b>											<b>\$154,813.34</b>	
<b>Total Investments</b>											<b>\$69,356,639.42</b>	





**Managed Account Security Transactions & Interest**

For the Month Ending August 31, 2014

CITY OF ANTIOCH, CA - 04380500

Transaction Type	Trade Settle	Security Description	CUSIP	Par	Principal Proceeds	Accrued Interest	Total	Realized G/L Cost	Realized G/L Amort Cost	Sale Method
<b>BUY</b>										
08/06/14	08/07/14	FHLB NOTES	3130A2T97	1,860,000.00	(1,855,815.00)	0.00	(1,855,815.00)			
		DTD 08/07/2014 0.500% 09/28/2016								
08/12/14	08/14/14	FREDDIE MAC GLOBAL NOTES	3137EADJ5	1,610,000.00	(1,609,800.36)	(715.56)	(1,610,515.92)			
		DTD 06/25/2012 1.000% 07/28/2017								
08/12/14	08/14/14	FREDDIE MAC GLOBAL NOTES	3137EADJ5	2,600,000.00	(2,599,825.80)	(1,155.56)	(2,600,981.36)			
		DTD 06/25/2012 1.000% 07/28/2017								
08/13/14	08/20/14	CATERPILLAR FINANCIAL SE	14912L6D8	770,000.00	(769,615.00)	0.00	(769,615.00)			
		DTD 08/20/2014 1.250% 08/18/2017								
08/14/14	08/19/14	GOLDMAN SACHS BANK USA CD	38147J2L5	1,375,000.00	(1,375,000.00)	0.00	(1,375,000.00)			
		DTD 08/19/2014 0.900% 08/12/2016								
<b>Transaction Type Sub-Total</b>				<b>8,215,000.00</b>	<b>(8,210,056.16)</b>	<b>(1,871.12)</b>	<b>(8,211,927.28)</b>			
<b>INTEREST</b>										
08/01/14	08/01/14	CA ST TXBL GO BONDS	13063BN73	550,000.00	0.00	2,887.50	2,887.50			
		DTD 03/27/2013 1.050% 02/01/2016								
08/15/14	08/15/14	JPMORGAN CHASE & CO	46623EY6	1,000,000.00	0.00	6,637.50	6,637.50			
		DTD 02/18/2014 1.350% 02/15/2017								
08/15/14	08/15/14	BERKSHIRE HATHAWAY FIN GLOBAL NOTES	084664BX8	930,000.00	0.00	4,417.50	4,417.50			
		DTD 08/15/2013 0.950% 08/15/2016								
08/31/14	08/31/14	US TREASURY NOTES	912828RF9	2,265,000.00	0.00	11,325.00	11,325.00			
		DTD 08/31/2011 1.000% 08/31/2016								
<b>Transaction Type Sub-Total</b>				<b>4,745,000.00</b>	<b>0.00</b>	<b>25,267.50</b>	<b>25,267.50</b>			
<b>SELL</b>										
08/06/14	08/07/14	US TREASURY NOTES	912828RF9	1,100,000.00	1,110,742.19	4,782.61	1,115,524.80	4,726.56	6,589.18	SPEC LOT
		DTD 08/31/2011 1.000% 08/31/2016								
08/06/14	08/07/14	US TREASURY NOTES	912828RF9	735,000.00	742,177.73	3,195.65	745,373.38	(2,095.90)	(515.97)	SPEC LOT
		DTD 08/31/2011 1.000% 08/31/2016								
08/12/14	08/14/14	US TREASURY NOTES	912828TG5	725,000.00	715,994.14	137.91	716,132.05	962.89	576.54	SPEC LOT
		DTD 07/31/2012 0.500% 07/31/2017								
08/12/14	08/14/14	US TREASURY NOTES	912828TG5	340,000.00	335,776.56	64.67	335,841.23	491.40	332.87	SPEC LOT
		DTD 07/31/2012 0.500% 07/31/2017								





**Managed Account Security Transactions & Interest**

For the Month Ending August 31, 2014

CITY OF ANTIOCH, CA - 04380500

Transaction Type	Trade	Settle	Security Description	CUSIP	Par	Principal Proceeds	Accrued Interest	Total	Realized G/L Cost	Realized G/L Amort Cost	Sale Method
SELL	08/12/14	08/14/14	US TREASURY NOTES DTD 07/31/2012 0.500% 07/31/2017	912828TG5	1,630,000.00	1,609,497.66	310.05	1,609,807.71	4,648.05	4,333.17	SPEC LOT
	08/12/14	08/14/14	US TREASURY NOTES	912828TG5	1,570,000.00	1,550,497.66	298.64	1,550,796.30	4,722.27	4,418.98	SPEC LOT
	08/13/14	08/20/14	GENERAL ELEC CAP CORP GLOBAL NOTES	36962G5M2	865,000.00	870,968.50	2,118.05	873,086.55	(3,451.35)	4,549.21	SPEC LOT
	08/15/14	08/19/14	US TREASURY NOTES DTD 01/09/2012 2.150% 01/09/2015	912828RF9	1,000,000.00	1,010,625.00	4,673.91	1,015,298.91	(1,992.19)	321.68	SPEC LOT
	08/15/14	08/19/14	US TREASURY NOTES DTD 08/31/2011 1.000% 08/31/2016	912828RF9	1,000,000.00	1,010,625.00	4,673.91	1,015,298.91	(1,992.19)	321.68	SPEC LOT
<b>Transaction Type Sub-Total</b>					<b>7,965,000.00</b>	<b>7,946,279.44</b>	<b>15,581.49</b>	<b>7,961,860.93</b>	<b>8,011.73</b>	<b>20,605.66</b>	
<b>Managed Account Sub-Total</b>						<b>(263,776.72)</b>	<b>38,977.87</b>	<b>(224,798.85)</b>	<b>8,011.73</b>	<b>20,605.66</b>	
<b>Total Security Transactions</b>						<b>(263,776.72)</b>	<b>\$38,977.87</b>	<b>(\$224,798.85)</b>	<b>\$8,011.73</b>	<b>\$20,605.66</b>	



**STAFF REPORT TO THE MAYOR AND CITY COUNCIL FOR  
CONSIDERATION AT THE COUNCIL MEETING OF OCTOBER 28, 2014**

**FROM:** Dawn Merchant, Finance Director

**DATE:** October 6, 2014

**SUBJECT:** Statement of Investment Policy

**RECOMMENDATION**

Adopt the Resolution of the City Council of the City of Antioch adopting the Statement of Investment Policy.

**DISCUSSION**

State law requires that cities adopt investment guidelines and the City's investment policy further requires that the City Treasurer annually render the policy to City Council for review. The current policy was provided to the City's investment advisors, PFM, for review to ensure it is in compliance with current government codes. PFM has provided suggested revisions (see Attachment A for memorandum from PFM summarizing proposed revisions) which City staff has reviewed and concur with, to update the City's policy to incorporate current government code standards. Attachment C provides a marked up version of the current policy indicating the recommended updates.

**STRATEGIC PURPOSE**

Consideration of this item is consistent with Strategic Plan Long Term Goal N, Financial Services to achieve and maintain financial stability and transparency. Updating the Statement of Investment Policy falls within Strategy N-2 of this Long Term goal by ensuring the City achieves long-term fiscal sustainability by maximizing the return on investments while maintaining the safety and liquidity of assets.

**ATTACHMENTS**

Attachment A – Memorandum from the PFM Group

Attachment B – Resolution of the City Council of the City of Antioch Adopting the Statement of Investment Policy

Attachment C – Statement of Investment Policy



September 12, 2014

## Memorandum

**To:** Donna Conley, City Treasurer  
Dawn Merchant, Finance Director  
City of Antioch

**From:** Nancy Jones, Managing Director  
Sarah Meacham, Director  
Charles Cook, Analyst  
PFM Asset Management LLC

**Re:** Review of Investment Policy

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We completed our annual review of the City's Investment Policy. The Policy is in compliance with the California Government Code, but we have a few recommendations to update parts of the Policy and increase flexibility so the City can take advantage of additional investment opportunities while maintaining the safety and liquidity of the City's portfolio.

### Recommendations by Section

#### II. Objectives

- Revise the "prudent investor standard" so that it conforms with the California Government Code

#### IV. Permitted Investment Instruments

- Establish that all allocations applicable as of the date of purchase, which per Code is how percent limits are to be applied.
- Move the statement that credit criteria also applies at the time of purchase from the end of this section to the beginning for clarity.
- Remove the language about TLGP securities since this program has expired.
- Remove the 30% per bank issuer limitation for banker's acceptances because we are recommending a stricter issuer limitation.
- Change the credit rating requirement for corporate securities to "A" to allow the City greater opportunities to add value to the portfolio and to further diversify the holdings.
- Remove the reference to the Investment Advisory Committee from subsection on FDIC-insured CDs.
- Add to the list of issuers of permitted negotiable CDs state and federal credit unions and federally-licensed branches of foreign banks to better align with Code.



- Change the maximum permitted investment in LAIF to \$50 million, the current maximum allowed by the State Treasurer.
- Add mortgage-backed and other pass-through securities.
- Add bonds issued by the International Bank for Reconstruction and Development (IBRD), International Finance Corporation (IFC), or Inter-American Development Bank (IADB), which will be permitted by Code effective January 1, 2015.

We recommend changing the credit rating requirements of corporate notes to better reflect the market environment. Major rating agencies have reduced the credit ratings of most corporate issuers, especially financial institutions, across the board. This change was due to modifications in the ratings criteria used by rating agencies rather than the financial health of corporate issuers. Therefore, there are almost no AAA corporate issuers remaining and very few AA rated issuers. We believe that PFM's credit process allows for the City to safely utilize corporate investments with A ratings.

We also recommend the addition of mortgage-backed and pass-through securities, which are allowed by Code, and bonds issued by the International Bank for Reconstruction and Development (IBRD), International Finance Corporation (IFC), or Inter-American Development Bank (IADB), which will be permitted by Code as of January 1, 2015. We recommended these additions to safely increase the City's investment opportunities and maintain diversification in light of the decline in Federal Agency supply. Federal Agency debt has been a core, high-quality investment for public agencies for decades. However, the conservatorship of FNMA and FHLMC after the financial crisis began a \$1.3 trillion decline in supply as FNMA and FHLMC's operations have shrunk as required and they no longer need to issue as many bonds to finance their operations. This decline in the Federal Agency market will continue and has already impacted the value and availability of Federal Agency debt.

#### **X. Maturity**

- Add statement allowing the City to invest beyond 5 years if approved by City Council at least 3 months in advance.

#### **XI. Diversification**

- Lower the maximum issuer allocation to 5% regardless of maturity to promote diversification for additional risk management.

#### **IX. Safekeeping and Custody**

- Add that transactions will be conducted on a delivery versus payment basis, which is an industry-standard protection for investors—security must be delivered before payment will be released.

#### **XI. Investment Policy Review**

- Separate this requirement from Section X "Reporting Requirements" for clarity.



**RESOLUTION NO. 2014/\*\***

**RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ANTIOCH ADOPTING  
THE STATEMENT OF INVESTMENT POLICY**

WHEREAS, California Government Code sections 53600 et seq. allow a city to make investments with money not required for immediate needs, but limits the kinds of investments that a city can make;

WHEREAS, when investing, State law requires that the primary objective of a trustee shall be to safeguard the principal of the funds under its control; the secondary objective shall be to meet the liquidity needs of the depositor; and the third objective shall be to achieve a return on the funds under its control;

NOW THEREFORE, BE IT RESOLVED, that the City Council of the City of Antioch hereby adopts the Statement of Investment Policy, as attached hereto.

\* \* \* \* \*

I HEREBY CERTIFY that the foregoing resolution was passed and adopted by the City Council of the City of Antioch at a regular meeting thereof, held on the 28th day of October 2014, by the following vote:

AYES:

ABSENT:

NOES:

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**ARNE SIMONSEN  
CITY CLERK OF THE CITY OF ANTIOCH**

**CITY OF ANTIOCH  
STATEMENT OF INVESTMENT POLICY**

**I. INTRODUCTION**

The purpose of this document is to identify various policies and procedures that enhance opportunities for a prudent and systematic investment process. The initial step toward a prudent investment policy is to organize and formalize investment related activities. Related activities which comprise good cash management include accurate cash projection, the expeditious collection of revenue, the control of disbursements, cost effective banking relations, and a short term borrowing program which coordinates working capital requirements and investment opportunity. In concert with these requirements are the many facets of an appropriate and secure short term investment program.

**II. OBJECTIVES**

A. Safety of principal is the foremost objective of the City, followed by liquidity and yield. Each investment transaction shall seek to first ensure that capital losses are avoided, whether they are from securities defaults or erosion of market value.

B. Investment decisions should not incur unreasonable investment risks in order to obtain current investment income.

C. The City's investment portfolio will remain sufficiently liquid to enable the City to meet all operating requirements which might be reasonably anticipated. This need for investment liquidity may be tempered to the extent that the City is able to issue short term notes to meet its operating requirements.

D. The investment portfolio shall be managed to attain a market average rate of return throughout budgetary and economic cycles, taking into account the City's investment risk constraints and cash flow requirements, and state and local law, ordinances or resolutions that restrict the placement of short term funds.

E. Portfolio performance will be measured against a total return index with securities with similar attributes and similar average maturity, e.g., the Merrill Lynch 1-3 Year U.S. Treasury Index.

F. The City's investment portfolio will be diversified to avoid incurring unreasonable and avoidable risks associated with concentrating investments in specific security types or in individual financial institutions.

G. While the City will not make investments for the purpose of trading or speculation as the dominant criterion, the City Treasurer shall seek to enhance total portfolio return by means of active portfolio management. The prohibition of speculative investments precludes pursuit of gain or profit through unusual risk and precludes investments primarily directed at gains or profits from conjectural fluctuations in market prices. However, as long as the original investments can be justified by their ordinary earning power, trading in response to changes in market value or market direction is a requirement of portfolio management.

H. The City adheres to the guidance provided by the "prudent investor rule", which states that, "when investing, reinvesting, purchasing, acquiring, exchanging, selling, or managing public funds, a trustee shall act with care, skill, prudence, and diligence under the circumstances then prevailing, including, but not limited to, the general economic conditions and the anticipated needs of the agency, that a prudent person acting in a like capacity and familiarity with those matters would use in the conduct of funds of a like character and with like aims, to safeguard the principal and maintain the liquidity needs of the agency."~~obligates a fiduciary to ensure that investments shall be made with the exercise of that degree of judgment and care, under circumstances then prevailing, which persons of prudence, discretion and intelligence exercise in the management of their own affairs, not for speculation but for investment, considering the probable safety of their capital as well as the probable income to be derived.~~

I. All participants in the investment process shall act responsibly as custodians of the public trust. Investment officials shall recognize that the investment portfolio is subject to public review and evaluation. The overall program shall be designed and managed with a degree of professionalism that is worthy of the public trust. Nevertheless, in a diversified portfolio, it must be recognized that occasional measured losses are inevitable, and must be considered within the context of the overall portfolio's investment return, provided that adequate diversification has been implemented.

**CITY OF ANTIOCH  
STATEMENT OF INVESTMENT POLICY**

**III. DELEGATION OF AUTHORITY**

The responsibility for conducting the City's investment program resides with the City Treasurer, who shall establish written procedures for the operation of the investment program, consistent with this investment policy. Such procedures shall include explicit delegation of authority for all investment activities. Transactions may be delegated to an independent investment advisor registered with the SEC who will meet at least quarterly with the City Treasurer and Finance Director to review general strategies and monitor results.

**IV. PERMITTED INVESTMENT INSTRUMENTS**

The City of Antioch shall strive to maintain the level of investment of all idle funds as near 100% as possible, through daily and projected cash flow determinations. Idle cash management and investment transactions are the responsibility of the City Treasurer. The City Treasurer, or designee, is authorized to purchase the following investment instruments.

Where this section specifies a percentage limitation for a particular security type, that percentage is applied on the date of purchase. Credit criteria listed in this section refers to the credit rating at the time the security is purchased. If an investment's credit rating falls below the minimum rating required at the time of purchase, the City Treasurer, or designee, will perform a timely review and decide whether to sell or hold the investment.

- A. U.S. Treasury, notes, bonds, bills, or other certificates of indebtedness, or those for which the full faith and credit of the United States are pledged for the payment of principal and interest.
- B. Federal agency or United States government-sponsored enterprise obligations, participation, or other instruments, including those issued by or fully guaranteed as to principal and interest by federal agencies or United States government-sponsored enterprises ~~including debt guaranteed under the FDIC's Temporary Liquidity Guarantee Program, which is backed by the full faith and credit of the U.S. Government. The details of the FDIC guarantee are provided in the FDIC's regulations, 12 CFR Part 370, and at the FDIC's website <http://www.fdic.gov/tlgp>.~~
- C. Obligations of the State of California or any local agency within the state, including bonds payable solely out of revenues from a revenue producing property owned, controlled or operated by the state or any local agency or by a department, board, agency or authority of the state or any local agency.
- D. Registered treasury notes or bonds of any of the other 49 United States in addition to California, including bonds payable solely out of the revenues from a revenue-producing property owned, controlled, or operated by a state or by a department, board, agency, or authority of any of the other 49 United States, in addition to California.
- E. Repurchase Agreements. Repurchase agreements are to be used solely as short-term investments not to exceed 30 days. The City may enter into repurchase agreements with primary government securities dealers rated "A" or better by two nationally recognized rating services. Counterparties should also have (i) a short-term credit rating in the highest category by a nationally recognized statistical rating organization (NRSRO); (ii) minimum assets and capital size of \$25 billion in assets and \$350 million in capital; (iii) five years of acceptable audited financial results; and (iv) a strong reputation among market participants.

The following collateral restrictions will be observed: Only U.S. Treasury securities or Federal Agency securities will be acceptable collateral. All securities underlying repurchase agreements must be delivered to the City's custodian bank versus payment or be handled under a properly executed tri-party repurchase agreement. The total market value of all collateral for each repurchase agreement must equal or exceed 102% of the total dollar value of the money invested by the City for the term of the investment. For any repurchase agreement with a term of more than one day, the value of the underlying securities must be reviewed on an on-going basis according to market conditions. Market value must be calculated each time there is a substitution of collateral.

**CITY OF ANTIOCH**  
**STATEMENT OF INVESTMENT POLICY**

The City or its trustee shall have a perfected first security interest under the Uniform Commercial Code in all securities subject to repurchase agreement. The City shall have properly executed a PSA agreement with each counter party with which it enters into repurchase agreements.

- F. Bills of exchange or time drafts drawn on and accepted by a commercial bank, otherwise known as bankers' acceptances. Purchases of bankers' acceptances may not exceed 180 days' maturity, or 40% of the City's surplus money that may be invested. ~~However, no more than 30% of the City's surplus funds may be invested in the bankers' acceptances of any one commercial bank.~~ Eligible bankers' acceptances are restricted to issuing financial institutions with short-term paper rated in the highest category by one NRSRO.
- G. Commercial paper of "prime" quality of the highest ranking or of the highest letter and number rating as provided for by a nationally recognized statistical-rating organization (NRSRO). The entity that issues the commercial paper shall meet all of the following conditions in either paragraph (1) or paragraph (2) below:
1. The entity meets the following criteria: (A) is organized and operating in the United States as a general corporation. (B) has total assets in excess of five hundred million dollars (\$500,000,000). (C) has debt other than commercial paper, if any, that is rated "A" or higher by a ~~nationally recognized statistical-rating organization~~ (NRSRO).
  2. The entity meets the following criteria: (a) is organized within the United States as a special purpose corporation, trust, or limited liability company. (b) Has program wide credit enhancements including, but not limited to, over collateralization, letters of credit, or surety bond. (c) Has commercial paper that is rated "A-1" or higher, or the equivalent, by a NRSRO.

Eligible commercial paper shall have a maximum maturity of 270 days or less. The City may invest no more than 25% of its money in eligible commercial paper, and the City may purchase no more than 10% of the outstanding commercial paper of any single issuer.

- H. Medium term notes with a maximum maturity of five years issued by corporations organized and operating within the United States or by depository institutions licensed by the United States or any state and operating within the United States ~~that are rated at least "A" by a NRSRO. No more than 15% of the notes eligible for investment under this subdivision shall be rated in a rating category of "A" or its equivalent or better by two NRSRO's. The remainder of notes eligible for investment under this subsection must be rated AA or higher by two NRSRO's.~~ Purchases of medium term notes may not exceed 30% of the City's portfolio.
- I. FDIC-insured or fully collateralized time certificates of deposit in financial institutions located in California, including U.S. branches of foreign banks licensed to do business in California. All time deposits must be collateralized in accordance with California Government Code Section 53561, either at 150% by promissory notes secured by first mortgages and first trust deeds upon improved residential property in California eligible under Section (m) or at 110% by eligible marketable securities listed in Subsections (a) through (l) and (n) and (o). ~~The City, at its discretion and by majority vote of the Investment Advisory Committee, on a quarterly basis, may waive the collateralization requirements for any portion of the deposit that is covered by federal insurance.~~ To be eligible to receive local agency deposits, a financial institution must have received a minimum overall satisfactory rating for meeting the credit needs of California Communities its most recent evaluation.
- J. Negotiable Certificates of Deposit issued by a nationally or state-chartered bank or a state or federal savings and loan association, ~~a state or federal credit union,~~ or by a ~~federally-~~ or state-licensed branch of a foreign bank. Purchases of negotiable certificates of deposit may not exceed 30% of the City's surplus money.
1. Certificates with maturities greater than six months through one year shall have an "A-1"/ "P-1" rating, or its equivalent or better, as provided for by one of the NRSRO's.

**CITY OF ANTIOCH  
STATEMENT OF INVESTMENT POLICY**

2. Certificates with maturities greater than one year and through four years shall have a long term rating of "A," its equivalent or higher from one or more *NRSRO*'s.

K. State of California's Local Agency Investment Fund (LAIF). Investment in LAIF may not exceed \$40-50 million.

1. The LAIF portfolio should be reviewed periodically.

L. California Asset Management Program (CAMP).

M. Shares of beneficial interest issued by diversified management companies that are money market funds registered with the Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. Sec. 80a-1, et seq.). To be eligible for investment pursuant to this subdivision these companies shall either:

1. Attain the highest ranking letter or numerical rating provided by not less than two of the three largest nationally recognized statistical-rating organization or
2. Have an investment advisor registered or exempt from registration with the Securities and Exchange Commission with not less than five years experience managing money market mutual funds and with assets under management in excess of \$500,000,000.

The purchase price of shares shall not exceed 20 percent of the investment portfolio of the City.

N. Any mortgage pass-through security, collateralized mortgage obligation, mortgage-backed or other pay-through bond, equipment lease-back certificate, consumer receivable pass-through certificate, or consumer receivable-backed bond of a maximum of five years maturity. Eligible securities must be rated, by a nationally recognized rating service, as "AA" or higher, and the issuer of the security must have an "A" or higher rating for its debt as provided by a *NRSRO*. No more than 20 percent of the agency's surplus funds may be invested in this type of security.

O. United States dollar denominated senior unsecured unsubordinated obligations issued or unconditionally guaranteed by the International Bank for Reconstruction and Development (IBRD), International Finance Corporation (IFC), or Inter-American Development Bank (IADB), with a maximum remaining maturity of five years or less, and eligible for purchase and sale within the United States. Investments under this subdivision shall be rated at least "AA" by a *NRSRO*.

Purchases of these securities shall not exceed 30 percent of the investment portfolio of the City. Supranationals will be permitted by California Government Code §53601 (q) and this Policy effective January 1, 2015.

~~Op~~ Insured savings account or money market account. To be eligible to receive local agency deposits, a financial institution must have received a minimum overall satisfactory rating for meeting the credit needs of California Communities in its most recent evaluation.

- ~~2. Credit criteria listed in this section refers to the credit of the issuing organization at the time the security is purchased.~~

**V. PROHIBITED INVESTMENTS**

Any security type or structure not specifically approved by this policy is hereby specifically prohibited. Security types which are thereby prohibited include, but are not limited to:

1. Reverse repurchase agreements.

**CITY OF ANTIOCH  
STATEMENT OF INVESTMENT POLICY**

2. The City shall not invest any funds in inverse floaters, range notes, or interest only strips that are derived from a pool of mortgages, or in any security that could result in zero interest accrual if held to maturity.
3. The City will not invest in any companies that produce alcohol for public consumption or tobacco products.

**VI. MATURITY**

Investment maturities shall be based on a review of cash flow forecasts. Maturities will be scheduled as to permit the City to meet all projected obligations. ~~Unless otherwise specified in this section, n~~No investment shall be made in any security, other than a security underlying a repurchase or reverse repurchase agreement as authorized by this section that at the time of the investment has a term remaining to maturity in excess of five years unless City Council has provided approval for a specific purpose at least three months before the investment is made.

**VII. DIVERSIFICATION**

It is City policy to diversify the investment portfolio in order to reduce the risk of loss resulting from other concentration of assets in a specific maturity, a specific issuer, or a specific class of securities. The following strategies and constraints shall apply:

A. Portfolio maturities shall be staggered in a way to avoid undue concentration of assets in a specific maturity sector. Maturities shall be selected which provide for stability of income and reasonable liquidity.

B. Concern for liquidity shall be insured through practices that include covering the next vendor disbursement date and payroll date through maturing investments.

C. Risks of market price volatility shall be controlled through maturity diversification such that aggregate price losses on instruments with maturities exceeding one year shall not be greater than coupon interest and investment income received from the balance of the portfolio.

D. Specific diversification limitation shall be imposed on the portfolio as follows:

1. The target average maturity of the portfolio will be 18 months, plus or minus six months. During increasing rate environments the average maturity is to be shortened toward the 12 month goal and during decreasing rate environments the average maturity is to be lengthened toward the 24 month goal.

2. Except for fully collateralized deposits, investments in pooled funds such as LAIF, CAMP, and money market funds, in the Local Agency Investment Fund, instruments of the U.S. Government or its Agencies and securities issued by the IBRD, IFC, and IADB~~fully collateralized certificates of deposit,~~ no more than 105% of the overall portfolio may be invested in the securities of a single financial institution ~~if the maturity is greater than one year, no more than 20% may be invested in a single issuer if the maturity is one year or less.~~

3. In accordance with California statutes, City deposits including collateralized certificates of deposit shall not exceed the total paid up capital (to include capital notes and debentures) and surplus of any depository bank, or the total of the net worth of any savings and loan association.

**VIII. RISK TOLERANCE**

The City recognizes that investment risks can result from issuer defaults, market price changes or various technical complications leading to temporary illiquidity. Portfolio diversification is employed as a way to control risk. No individual investment transaction shall be undertaken which jeopardizes the total capital position of the overall portfolio. The City Treasurer shall periodically establish guidelines and strategies to control risks of default, market price changes and illiquidity.

In addition to these general policy considerations, the following specific policies will be strictly observed.

**CITY OF ANTIOCH  
STATEMENT OF INVESTMENT POLICY**

A. All investment funds will be placed directly with qualified financial institutions. The City will not deposit or invest funds through third parties or money brokers.

B. All transactions will be executed on a delivery versus payment basis with one exception: Upon the City's receipt of an account number from an authorized official, a California savings and loan institution and Bay Area banks shall have 48 hours from the transaction settlement date in which to deliver the certificate of deposit for a collateralized deposit to the City's safekeeping, even though payment is made by the City on the settlement date.

C. A competitive bid process, utilizing a minimum of three financial institutions deemed eligible by the City's Investment Advisor, will be used to place all investment purchases. Based on a quarterly evaluation, securities dealers, banks and other financial institutions will be dropped or continued on the eligibility list. The following criteria will be used in the quarterly evaluation:

1. Number of transactions competitively won.
2. Prompt and accurate confirmation of transactions.
3. Efficient securities delivery.
4. Accurate market information account servicing.

D. The City Treasurer shall forward a copy of and updates to the City's Investment Policy to the City's Investment Advisor and require written acknowledgment of the Policy.

**IX. SAFEKEEPING AND CUSTODY**

To protect against potential fraud and embezzlement, the assets of the City shall be held in the City's vault or secured through third party custody and safekeeping procedures. City Treasurer or designee shall be bonded to protect the public against possible embezzlement and malfeasance. Safekeeping procedures shall be reviewed annually by an independent auditor. The auditor may conduct surprise audits of safekeeping and custodial procedures.

All securities will be received and delivered using standard delivery versus payment procedures.

**X. REPORTING REQUIREMENTS**

The City Treasurer shall render to the City Council at least a quarterly investment report, which shall include, at a minimum, the following information for each individual investment:

1. Type of investment instrument (i.e., Treasury Bill, medium term note);
2. Issuer name (i.e., General Electric Credit Corporation);
3. Purchase date (trade and settlement date);
4. Maturity date;
5. Par value;
6. Purchase price;
7. Current market value and the source of the valuation;
8. Overall portfolio yield based on cost.

The quarterly report also shall (a) state compliance of the portfolio to the statement of investment policy, or manner in which the portfolio is not in compliance; (b) include a description of any of the City's funds, investments, or programs that are under the management of contracted parties, including lending programs; and (c) include a statement denoting the ability of the City to meet its expenditure requirements for the next six months, or provide an explanation as to why sufficient money shall, or may, not be available.

The Treasurer will submit to City Council a monthly report of investment transactions.

**XI. INVESTMENT POLICY REVIEW**

The City Treasurer shall annually render to the City Council a Statement of Investment Policy, which the City Council shall consider at a public meeting.

**STAFF REPORT TO THE MAYOR AND CITY COUNCIL  
FOR CONSIDERATION AT THE MEETING OF OCTOBER 28, 2014**

**PREPARED BY:** Ahmed Abu-Aly, Associate Engineer, Capital Improvements Division **AA**  
**APPROVED BY:** Ron Bernal, Public Works Director/City Engineer **REB**  
**DATE:** October 15, 2014  
**SUBJECT:** Resolution Approving Program Supplement Agreement No. 013-N to Administering Agency-State Agreement No. 04-5038R for Federal Aid Projects for the Sidewalk and Pedestrian Improvements, (P.W. 409-3)

**RECOMMENDATION**

It is recommended that the City Council adopt the attached resolution authorizing the Public Works Director/City Engineer or his designee to sign the Program Supplement Agreement No. 013-N to Administering Agency-State Agreement No. 04-5038R for Federal Aid Projects to receive federal grant funding for the Sidewalk and Pedestrian Improvements.

**BACKGROUND INFORMATION**

The City has been selected to receive federal grant funding from the federal Safe Routes to School Program and/or Congestion Mitigation and Air Quality Improvement (CMAQ) program in the amount of \$330,000 for the sidewalk and pedestrian improvements along Cavallo Road/Garrow Drive from Wilbur Avenue to Davison Drive, East Tregallas Road from Hillcrest Avenue to Lone Tree Way, and Drake Street from "A" to "G" Street. The project will include installing new handicap ramps that meet ADA standards, replace damaged sidewalks, curb and gutter to improve pedestrian access and facilities to provide handicap accessibility to nearby schools.

**FINANCIAL IMPACT**

Approval of this resolution will secure \$330,000 in federal funds for the construction of the sidewalk and pedestrian improvements from along Cavallo Road/Garrow Drive from Wilbur Avenue to Davison Drive, East Tregallas Road from Hillcrest Avenue to Lone Tree Way, and Drake Street from "A" to "G" Street. The current engineer's estimate for the project is \$414,700; the City's contribution for the local matching funds of \$84,700 will be funded through the Measure "J" Fund.

**STRATEGIC PURPOSE**

This program will support Strategy K-4 by pursuing grant funding to improve infrastructure; and Strategy K-5 by reducing City liability from third party claims by increasing and enhancing ADA accessibility and reducing trip hazards.

**OPTIONS**

None recommended.

**ATTACHMENTS**

A: Program Supplement Agreement No. 013-N to Administering Agency-State Agreement for Federal Aid Projects No. 04-5038R

AA:lm

**10-28-14**



**RESOLUTION NO. 2014/\*\***

**RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ANTIOCH APPROVING  
PROGRAM SUPPLEMENT AGREEMENT NO. 013-N TO ADMINISTERING AGENCY-STATE  
AGREEMENT NO. 04-5038R FOR THE  
SIDEWALK AND PEDESTRIAN IMPROVEMENTS  
(P.W. 409-3)**

**WHEREAS**, the City of Antioch is eligible to receive Federal and/or State funding for certain transportation projects, through the California Department of Transportation; and;

**WHEREAS**, Master Agreements, Program Supplemental Agreements, Fund Exchange Agreements, and/or Fund Transfer Agreements need to be executed with the California Department of Transportation before such funds could be claimed; and,

**WHEREAS**, the City of Antioch wishes to delegate authorization to execute these agreements and any amendments thereto to the Public Works Director/City Engineer or his designee including all Master Agreements, Program Supplemental Agreements, Fund Exchange Agreements, Fund Transfer Agreements, and any amendments thereto with the California Department of Transportation.

**THEREFORE, BE IT RESOLVED** by the City Council of the City of Antioch, that the Public Works Director or his designee is hereby authorized and directed to sign the Program Supplement Agreement No. 013-N to Administering Agency-State Agreement for Federal Aid Projects No. 04-5038R for the Sidewalk and Pedestrian Improvements Project in the City of Antioch, a true copy of which is on file in the Office of the City Clerk.

\* \* \* \* \*

**I HEREBY CERTIFY** that the foregoing is a true and correct copy of a resolution duly adopted and passed by the City of Antioch, California, at a regular meeting thereof held on the 28<sup>th</sup> day of October, 2014 by the following vote:

**AYES:**

**NOES:**

**ABSENT:**

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**ARNE SIMONSEN  
CITY CLERK OF THE CITY OF ANTIOCH**





SPECIAL COVENANTS OR REMARKS

Chapter	Statutes	Item	Year	Program	BC	Category	Fund Source	AMOUNT

SPECIAL COVENANTS OR REMARKS

1. A. The ADMINISTERING AGENCY will advertise, award and administer this project in accordance with the current published Local Assistance Procedures Manual.

B. ADMINISTERING AGENCY agrees that it will only proceed with work authorized for specific phase(s) with an "Authorization to Proceed" and will not proceed with future phase(s) of this project prior to receiving an "Authorization to Proceed" from the STATE for that phase(s) unless no further State or Federal funds are needed for those future phase(s).

C. Award information shall be submitted by the ADMINISTERING AGENCY to the District Local Assistance Engineer within 60 days of project contract award and prior to the submittal of the ADMINISTERING AGENCY'S first invoice for the construction contract.

Failure to do so will cause a delay in the State processing invoices for the construction phase. Please refer to Section 15.7 "Award Package" of the Local Assistance Procedures Manual.

D. ADMINISTERING AGENCY agrees, as a minimum, to submit invoices at least once every six months commencing after the funds are encumbered for each phase by the execution of this Project Program Supplement Agreement, or by STATE's approval of an applicable Finance Letter. STATE reserves the right to suspend future authorizations/obligations for Federal aid projects, or encumbrances for State funded projects, as well as to suspend invoice payments for any on-going or future project by ADMINISTERING AGENCY if PROJECT costs have not been invoiced by ADMINISTERING AGENCY for a six-month period.

If no costs have been invoiced for a six-month period, ADMINISTERING AGENCY agrees to submit for each phase a written explanation of the absence of PROJECT activity along with target billing date and target billing amount.

ADMINISTERING AGENCY agrees to submit the final report documents that collectively constitute a "Report of Expenditures" within one hundred eighty (180) days of PROJECT completion. Failure of ADMINISTERING AGENCY to submit a "Final Report of Expenditures" within 180 days of PROJECT completion will result in STATE imposing sanctions upon ADMINISTERING AGENCY in accordance with the current Local Assistance Procedures Manual.

E. Administering Agency shall not discriminate on the basis of race, religion, age, disability, color, national origin, or sex in the award and performance of any Federal-assisted contract or in the administration of its DBE Program Implementation Agreement. The Administering Agency shall take all necessary and reasonable steps under 49 CFR Part 26 to ensure nondiscrimination in the award and administration of Federal-assisted contracts. The Administering Agency's DBE Implementation Agreement is incorporated by reference in this Agreement. Implementation of the DBE Implementation Agreement, including but not limited to timely reporting of DBE commitments and utilization, is a legal

A4

**SPECIAL COVENANTS OR REMARKS**

obligation and failure to carry out its terms shall be treated as a violation of this Agreement. Upon notification to the Administering Agency of its failure to carry out its DBE Implementation Agreement, the State may impose sanctions as provided for under 49 CFR Part 26 and may, in appropriate cases, refer the matter for enforcement under 18 U.S.C. 1001 and/or the Program Fraud Civil Remedies Act of 1986 (31 U.S.C. 3801 et seq.).

F. Any State and Federal funds that may have been encumbered for this project are available for disbursement for limited periods of time. For each fund encumbrance the limited period is from the start of the fiscal year that the specific fund was appropriated within the State Budget Act to the applicable fund Reversion Date shown on the State approved project finance letter. Per Government Code Section 16304, all project funds not liquidated within these periods will revert unless an executed Cooperative Work Agreement extending these dates is requested by the ADMINISTERING AGENCY and approved by the California Department of Finance.

ADMINISTERING AGENCY should ensure that invoices are submitted to the District Local Assistance Engineer at least 75 days prior to the applicable fund Reversion Date to avoid the lapse of applicable funds. Pursuant to a directive from the State Controller's Office and the Department of Finance; in order for payment to be made, the last date the District Local Assistance Engineer can forward an invoice for payment to the Department's Local Programs Accounting Office for reimbursable work for funds that are going to revert at the end of a particular fiscal year is May 15th of the particular fiscal year. Notwithstanding the unliquidated sums of project specific State and Federal funding remaining and available to fund project work, any invoice for reimbursement involving applicable funds that is not received by the Department's Local Programs Accounting Office at least 45 days prior to the applicable fixed fund Reversion Date will not be paid. These unexpended funds will be irrevocably reverted by the Department's Division of Accounting on the applicable fund Reversion Date.

G. As a condition for receiving federal-aid highway funds for the PROJECT, the Administering Agency certifies that NO members of the elected board, council, or other key decision makers are on the Federal Government Exclusion List. Exclusions can be found at [www.sam.gov](http://www.sam.gov).

**STAFF REPORT TO THE MAYOR AND CITY COUNCIL  
FOR CONSIDERATION AT THE MEETING OF OCTOBER 28, 2014**

**PREPARED BY:** Alan Barton, Director of Information Systems 

**APPROVED BY:** Steve Duran, City Manager 

**DATE:** October 28, 2014

**SUBJECT:** Consideration of Bids for the Disk to Disk Data Archive/Backup Solution Replacement, Bid No. 209-1014-14A

**RECOMMENDATION**

It is recommended that the City Council award the Disk to Disk Data Archive/Backup Solution Replacement project to the lowest responsible bidder, Entisys, in the amount of \$88,425.

**BACKGROUND INFORMATION**

The City's current Disk to Disk Data Archive/Backup system is used to backup and protect the City's data from loss due to disaster or data corruption was installed in 2010. Due to data growth, we have exceeded the storage capacity of the current system. Requests for Proposals were sent out to replace the system with one that will have the capability of expanding to meet future data growth of the City.

On October 14, 2014, six (6) bids were received and opened. The lowest responsible bid was submitted by Entisys, in the amount of \$88,425. The bid has been checked and found to be without any errors or omissions.

**FINANCIAL IMPACT**

The project will be funded by the Office Equipment Replacement fund.

**STRATEGIC PURPOSE**

This item supports Strategy O-2 in the Strategic Plan by replacing aging server hardware and software.

**OPTIONS**

None considered at this time.

**ATTACHMENTS**

A: Tabulation of Bids

**BID TABULATION****Disk to Disk Data Archive/Backup Solution****BID NO. 209-1014-14A**

<b>VENDOR</b>	<b>COST DELIVERED</b>
Entisys Solutions, Inc. 1855 Gateway Blvd Suite 730 Concord, CA 94520	\$88,425.42
KIS 4027 Clipper Court Fremont, CA 94538	\$120,890.00
SSP Data 1304 South 51 <sup>st</sup> Street Richmond, CA 94804	\$125,499.00
EPC 1324 El Camino Real Belmont, CA 94002	\$127,496.00
Bear Data 128 Spear Ct. San Francisco, CA 94105	\$138,122.74



Vion 196 Van Buran St. Herdon, Virginia 20170	\$191,654.00
AMS.NET 502 Commerce Way Livermore, CA 94551	\$202,443.29

**STAFF REPORT TO THE MAYOR AND CITY COUNCIL  
FOR CONSIDERATION AT THE MEETING OF OCTOBER 28, 2014**

**PREPARED BY:** Alan Barton, Director of Information Systems 

**APPROVED BY:** Steve Duran, City Manager 

**DATE:** October 28, 2014

**SUBJECT:** Consideration of Bids for the Hosted Water Utility Billing Payment IVR (Interactive Voice Response) System Replacement, Bid No. 209-0916-14A

**RECOMMENDATION**

It is recommended that the City Council award the IVR System Replacement project to the lowest responsible bidder, Paymentus, for a five (5) year contract in the amount of \$123,000.

**BACKGROUND INFORMATION**

The City's current IVR system used for water and sewer billing information and payments was installed in 2006 and has reached the end of its useful life. Requests for Proposals were sent out to replace the aging system with a system that not only provides more capabilities than the current one to provide better customer service but that will also be hosted offsite.

On September 16, 2014, two (2) bids were received and opened. The lowest responsible bid was submitted by Paymentus, for a five (5) year contract in the amount of \$123,000. The bid has been checked and found to be without any errors or omissions.

**FINANCIAL IMPACT**

The project will be funded by the Water and Sewer Enterprise Funds.

**STRATEGIC PURPOSE**

This item supports Strategy O-2 in the Strategic Plan by replacing aging server hardware and software.

**OPTIONS**

None considered at this time.

**ATTACHMENTS**

A: Tabulation of Bids

**BID TABULATION****HOSTED WATER UTILITY BILLING PAYMENT IVR SYSTEM****BID NO. 209-0916-14A**

<b>VENDOR</b>	<b>COST DELIVERED</b>
Paymentus Corp 13024 Ballantyne Corporate Place Charlotte, NC 28277	Five year contract amount: \$123,000
Sensiple 1000 Route 9 North, Suite 303 Woodbridge, NJ 07095	Five year contract amount: \$123,600

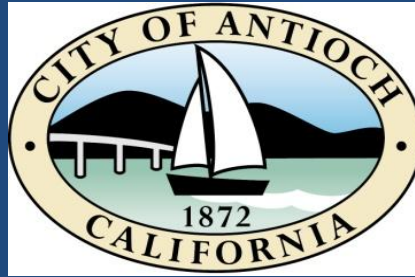
CITY OF ANTIOCH AS SUCCESSOR AGENCY TO  
THE ANTIOCH DEVELOPMENT AGENCY  
CLAIMS BY FUND REPORT  
FOR THE PERIOD OF  
SEPTEMBER 12 - OCTOBER 16, 2014  
FUND/CHECK#

<b>239 Redevelopment Obligation Retirement Fund</b>			
353174	GOLDFARB AND LIPMAN LLP	LEGAL SERVICES	1,430.00
353194	MUNICIPAL RESOURCE GROUP LLC	CONSULTING SERVICES	7,329.08
<b>335 Redevelopment Obligation Retirement Fund (for former Project Area #4.1)</b>			
352890	CRANMER PROPERTIES INC	OVERPAYMENT REFUND	350.00
<b>431 Redevelopment Obligation Retirement Fund (for former Project Area #1)</b>			
<b><i>Non Departmental</i></b>			
353330	BANK OF NEW YORK MELLON	FISCAL AGENT FEE	2,450.00

CITY OF ANTIOCH AS HOUSING SUCCESSOR TO  
THE ANTIOCH DEVELOPMENT AGENCY  
CLAIMS BY FUND REPORT  
FOR THE PERIOD OF  
SEPTEMBER 12 - OCTOBER 16, 2014  
FUND/CHECK#

**227 Housing Fund**  
**Housing - CIP**

922752 HOUSE, TERI	CONSULTING SERVICES	2,372.50
922965 HOUSE, TERI	CONSULTING SERVICES	260.00



2013 – 2014  
Measure C  
Status Report

# Measure C Facts

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- ½ cent Sales Tax, approved by Antioch voters in November 2013.
- Local tax – can't be taken by the State.
- Collections began on April 1, 2014.

# Measure C Facts

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- Anticipated to generate \$4,300,847 in General Fund revenue in FY 2014/15.
- Has a Citizen's Oversight Committee.
- Sunsets in 7 years (2021).



# What's Been Happening with Measure C Monies?

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- 100% of the anticipated revenue was allocated by the City Council to Police and Code Enforcement. \$4,111,947 to PD; \$188,900 to Code Enforcement.
- As of October 15<sup>th</sup>, \$1,706,336 has been collected (receipts through 9/30).

# What's Been Happening with Measure C Monies?

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- As of October 15th, 1 additional contract Code Enforcement Officer has been hired.
- Police Department Staffing has increased significantly - October 2013 to October 2014.

# What's Been Happening with Measure C Monies?

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- Sworn Police Officers actual headcount went from 76 to 91 – an increase of **19.7%**.
- All PD staffing headcount went from 97 to 116 – an increase of **19.6%**.

# Police Recruitment

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- Sworn Officer staffing targets are:
  - 97 by June 30, 2015
  - 104 by June 30, 2016
- We have five additional new Officers in process to be hired in November.

# Police Recruitment

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- State grant received to help fund 5 more Officers.
- Continuous recruitment efforts remain in effect.

# Crime Stats Preview

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January – September 2014 compared to 2013 – violent crime is down 9.8%.

QUESTIONS?